

ANALOGUES OF PICARD SETS FOR ENTIRE FUNCTIONS  
AND THEIR DERIVATIVES

by

James K. Langley

1. Introduction

A plane set  $E$  is a Picard set for entire functions if every transcendental entire function takes every finite complex value, with at most one exception, infinitely often in the complement of  $E$ . It is well known that certain uncountable sets are Picard sets for entire functions--perhaps that most striking result in this direction is the following improvement by Anderson and Clunie [3], and Toppila [9], of an earlier result of Baker and Liverpool [4]:

Theorem A

Suppose that  $q > 1$  and  $K > 0$  and that the complex sequence  $(a_n)$  and the positive sequence  $(\rho_n)$  satisfy, for all  $n$ ,

$$|a_{n+1}| \geq q|a_n| \quad (1.1)$$

and

$$\log \frac{1}{\rho_n} > \frac{K}{\log q} (\log |a_n|)^2. \quad (1.2)$$

Then:

- (a) if  $K > \frac{1}{2}$ , the union  $E$  of the discs  $B(a_n, \rho_n) = \{z : |z - a_n| < \rho_n\}$  is a Picard set for entire functions;
- (b) if  $K \leq \frac{1}{2}$ , there exists sequences  $(a_n)$  and  $(\rho_n)$  satisfying (1.1) and (1.2) such that the union  $E_1$  of the discs  $B(a_n, \rho_n)$  is not a Picard set for entire functions.

We shall be concerned with analogues of Theorem A for the value distribution of meromorphic functions and their derivatives. Specifically, if  $f$  is entire and transcendental, Hayman [6] proved that the equation  $f^N(z)f'(z) = b$  must have infinitely many solutions, for any non-zero  $b$ , and integer  $N$  not less than two; Clunie [5] showed that the same conclusion holds for  $N = 1$ . The question then arises as to whether there are "exceptional" subsets of the plane outside which infinitely many of these points must lie, and in this direction, Anderson, Baker, and Clunie proved [2]:

Theorem B

Suppose that  $a_n \rightarrow \infty$  such that, for all  $n$ ,

$$\left| \frac{a_{n+1}}{a_n} \right| > q > 1.$$

Then if  $f$  is a transcendental, entire function, and  $N$  is an integer not less than two, the equation  $f^N(z)f'(z) = b$  must have infinitely many solutions outside  $E = \{a_n\}$ , for any  $b \neq 0$ .

If  $(a_n)$  satisfies

$$\liminf_{n \rightarrow \infty} \frac{|a_{n+1}|}{|a_n| \log |a_n|} > c > 0$$

the same conclusion holds for  $N = 1$ .

In the case where  $N \geq 2$ , we are able to extend Theorem B to obtain uncountable exceptional sets comparable to those of Theorem A, although our method fails for  $N = 1$ , due to difficulties in estimating the growth of  $f$ . We have

Theorem 1

Suppose that the complex sequence  $(a_n)$  and the positive sequence  $(\rho_n)$  satisfy, for all  $n$ ,

$$\left| \frac{a_{n+1}}{a_n} \right| > q > 1$$

and

$$\log \frac{1}{\rho_n} > \frac{q^k + 1}{q^k - 1} \frac{8}{\log q} (\log |a_n|)^2.$$

Then, if  $f$  is a transcendental entire function, and  $N$  is an integer not less than two, the equation  $f^N(z)f'(z) = b$  must have infinitely many solutions outside the union of the discs  $B(a_n, \rho_n)$ , for any  $b \neq 0$ .

Remark

This result was originally proved as part of the author's Ph.D. thesis, written under the supervision of I.N. Baker, to whom thanks are due.

We shall use the standard notation of Nevanlinna theory (see eg. [8]). If  $f$  is meromorphic in  $|z| \leq r$ , having  $n(t, f)$  poles in  $|z| \leq t$  (counting poles according to multiplicity), set

$$N(r, f) = \int_0^r (n(t, f) - n(0, f)) \frac{dt}{t} + n(0, f) \log r$$

and

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log^+ |f(re^{i\theta})| d\theta + N(r, f) = m(r, f) + N(r, f)$$

where  $\log^+ x = \max\{\log x, 0\}$ . For  $f$  analytic in  $|z| \leq r$ , we shall require

$$M(r, f) = \max\{|f(z)| : |z| = r\}$$

and the estimate

$$\log^+ M(s, f) \leq \frac{r+s}{r-s} T(r, f)$$

for  $0 < s < r$ . We shall use the term "nearly everywhere" (n.e.) as follows: "n.e. as  $r \rightarrow \infty$ " will denote "as  $r \rightarrow \infty$  outside a set of finite measure", while if  $R$  is large, and  $S > R$ , "n.e. in  $R \leq r < S$ " will denote "for all  $r$  in  $R < r < S$  outside a set of small measure". We shall denote by  $S(r, f)$  any quantity satisfying  $S(r, f) = o(T(r, f))$  n.e. as  $r \rightarrow \infty$ .

A key role will be played by the following result ([8], p. 57):

Theorem C

Suppose that  $f(z)$  is meromorphic and non-constant in the plane, and that

$$\psi(z) = \prod_{i=0}^k a_i(z) f^{(i)}(z)$$

is non-constant, where  $k \geq 1$  and, for each  $i$ ,

$$T(r, a_i) = S(r, f).$$

Then

$$T(r, f) < \bar{N}(r, f) + N(r, \frac{1}{f}) + \bar{N}(r, \frac{1}{\psi-1}) - N_0(r, \frac{1}{\psi'}) + S(r, f)$$

where  $N_0(r, \frac{1}{\psi'})$  counts only zeros of  $\psi'$  which are not roots of  $\psi(z) = 1$ , and

$\bar{N}(r, f)$ ,  $\bar{N}(r, \frac{1}{\psi-1})$  count only points at which  $f = \infty$ ,  $\psi = 1$  respectively.

without regard to multiplicity.

We shall require the following result of Hayman [7]:

Theorem D

Suppose that  $f(z)$  is entire and satisfies

$$T(r, f) = O(\log r)^2.$$

Then

$$\log |f(re^{i\theta})| \sim \log M(r, f)$$

as  $z = re^{i\theta}$  tends to infinity outside an  $\epsilon$ -set surrounding the zeros of  $f$ .

The term " $\epsilon$ -set" denotes a countable set of discs not meeting the origin, which subtend angles at the origin whose sum is finite.

2. Preliminary LemmasLemma 1

Suppose that  $P(z)$  is a polynomial of degree  $k$  with all its zeros  $b_1, \dots, b_k$  lying in  $|z| < R_0$ . Then, for  $|z| = R \geq R_0$ ,

$$\left| \frac{P'(z)}{P(z)} \right| > \frac{k}{2R}.$$

Proof

We have

$$\frac{P'(z)}{P(z)} = \sum_{i=1}^k \frac{1}{z - b_i} = \frac{1}{z} \sum_{i=1}^k \left(1 - \frac{b_i}{z}\right)^{-1}$$

Now, if  $|\omega| < 1$ ,  $\operatorname{Re} \frac{1}{1 - \omega} > \frac{1}{2}$ , since  $t = (1 - \omega)^{-1}$  maps the unit disc

$|\omega| < 1$  into the half-plane  $\operatorname{Re}(t) > \frac{1}{2}$ . Thus, if  $|z| = R \geq R_0$ , then  $\left| \frac{b_i}{z} \right| < 1$

and so

$$\begin{aligned} \left| \frac{P'(z)}{P(z)} \right| &= \frac{1}{R} \left| \sum_{i=1}^k \left(1 - \frac{b_i}{z}\right)^{-1} \right| \\ &> \frac{1}{R} \left| \operatorname{Re} \sum_{i=1}^k \left(1 - \frac{b_i}{z}\right)^{-1} \right| \\ &> \frac{k}{2R}. \end{aligned}$$

Lemma 2

Suppose that  $h(z)$  is regular and non-zero in  $|z| \leq R$ , and that  $|\log |h(z)|| \leq M$  on  $|z| = R$ . Then for  $|z| = r < R$ ,

$$\left| \frac{h'(z)}{h(z)} \right| \leq \frac{2MR}{(R - r)^2}$$

Proof

We have (see eg. [8], p. 22)

$$\frac{h'(z)}{h(z)} = \frac{1}{\pi} \int_0^{2\pi} \log|h(\operatorname{Re} i\phi)| \frac{\operatorname{Re} i\phi}{(\operatorname{Re} i\phi - z)^2} d\phi$$

and simple estimates yield Lemma 2.

3. Proof of Theorem 1

Suppose that there exists a transcendental entire function  $f(z)$ , having only finitely many solutions of  $f^{N+1}(z)f'(z) = b$  outside the union of the discs  $D_n = B(a_n, \rho_n)$  such that,

$$\frac{a_{n+1}}{a_n} > q > 1 \tag{3.1}$$

and

$$\log \frac{1}{\rho_n} > \frac{q^{\frac{1}{2}} + 1}{q^{\frac{1}{2}} - 1} \frac{8}{\log q} (\log|a_n|)^2 \tag{3.2}$$

while  $N$  is an integer not less than two, and  $b \neq 0$ . It is clear that we may assume that  $b = 1$ , since otherwise we need only consider  $g(z) = \alpha f(z)$  where  $\alpha^{N+1} = b^{-1}$ . We shall show by a series of steps that  $f$  is of very small growth, and finally obtain a contradiction. We set

$$F(z) = \frac{1}{N+1} f^{N+1}(z), \tag{3.3}$$

and note that all large 1-points of  $F'$  lie in the discs  $D_n$ . Applying Theorem C (with  $\psi = F'$ ), we have

$$T(r, F) < N(r, \frac{1}{F}) + \bar{N}(r, \frac{1}{F' - 1}) - N_0(r, \frac{1}{F''}) + S(r, F)$$

where  $N_0(r, \frac{1}{F''})$  counts only zeros of  $F''(z)$  which are not 1-points of  $F'(z)$ , and  $S(r, F) = o(T(r, F))$  n.e. as  $r \rightarrow \infty$ . Hence

$$T(r, F) < N(r, \frac{1}{F}) + N(r, \frac{1}{F' - 1}) - N(r, \frac{1}{F''}) + S(r, F) \tag{3.4}$$

Now, zeros of  $F$  are zeros of  $f$ , and thus have multiplicity at least 3, from (3.3). Thus, if  $\hat{N}(r, \frac{1}{F''})$  counts the zeros of  $F''$  which are not zeros of  $F$ , and which lie in the discs  $D_n$ , we have

$$N(r, \frac{1}{F}) - N(r, \frac{1}{F''}) \leq 2 \bar{N}(r, \frac{1}{F}) - \hat{N}(r, \frac{1}{F''}), \tag{3.5}$$

where  $\bar{N}(r, \frac{1}{F})$  counts the points at which  $F = 0$ , without regard to multiplicity.

But then

$$\bar{N}(r, \frac{1}{F}) \leq \frac{1}{N+1} N(r, \frac{1}{F}) \leq \frac{1}{3} N(r, F) + o(1) \quad \top$$

and so (3.4) and (3.5) yield

$$T(r, F) < 4 \left( N(r, \frac{1}{F'} - 1) - \hat{N}(r, \frac{1}{F''}) \right) \quad (3.6)$$

n.e. as  $r \rightarrow \infty$ .

We define sequences  $p_n$ ,  $t_n$ , and  $v_n$  as follows. In both cases counting multiplicities, let  $p_n$  be the number of 1-points of  $F'$  in the disc  $D_n$ , and  $t_n$  the number of zeros of  $F''$  in  $D_n$  which are not zeros of  $F$ , and set

$$v_n = p_n - t_n. \quad (3.7)$$

Then for large  $M$ , we have n.e. in  $q^{\frac{1}{2}} |a_M| \leq r \leq |a_{M+1}| - 1$ ,

$$\begin{aligned} \frac{1}{4} T(r, F) &< O(\log r) + \sum_{n=1}^M p_n \left( \log \frac{r}{|a_n|} + o(1) \right) \\ &- \sum_{n=1}^M t_n \left( \log \frac{r}{|a_n|} + o(1) \right). \end{aligned} \quad (3.8)$$

Now,

$$\begin{aligned} \sum_{n=1}^M (p_n + t_n) &\leq n(|a_M| + 1, \frac{1}{F' - 1}) + n(|a_M| + 1, \frac{1}{F''}) \\ &= O(T(r, F) + S(r, F)) \end{aligned} \quad (3.9)$$

for  $r \geq q^{\frac{1}{2}} |a_M|$ . Since  $F$  is transcendental, by the assumption on  $f$ , we have, from (3.8) and (3.9),

$$T(r, F) < \frac{9}{2} \sum_{n=1}^M v_n \log \frac{r}{|a_n|} \quad (3.10)$$

n.e. in  $q^{\frac{1}{2}} |a_M| \leq r \leq |a_{M+1}| - 1$ , if  $M$  is large enough. Now, whether or not the sequence  $(v_n)$  is bounded above, there must exist  $m_0$  and infinitely many  $M$  such that

$$v_M = \max\{v_m : m_0 < m \leq M\} \geq 1 \quad (3.11)$$

since otherwise  $F$  would not be transcendental.

Suppose now that  $M$  is large, and satisfies (3.11). Then n.e. in

$$q^{\frac{1}{2}} |a_M| \leq r \leq |a_{M+1}| - 1 \text{ we have, from (3.10),}$$

$$T(r, F) < O(\log r) + \frac{9}{2} v_M \sum_{m=m_0}^M \log \frac{r}{|a_m|}. \quad (3.12)$$

But

$$\begin{aligned} \sum_{m=1}^M \log \frac{r}{|a_m|} &< M \log \frac{r}{|a_1|} \\ &= M(1 + o(1)) \log r \\ &\leq (1 + o(1)) (\log q)^{-1} (\log r)^2 \end{aligned} \quad (3.13)$$

for  $M$  and  $r$  as above, since

$$\log r \geq \log q^{\frac{1}{2}} |a_M| \geq (M - \frac{1}{2}) \log q + \log |a_1|.$$

Thus, from (3.12),

$$T(r, F) < \frac{5}{\log q} v_M (\log r)^2 \quad (3.14)$$

n.e. in  $q^{\frac{1}{2}} |a_M| \leq r \leq |a_{M+1}| - 1$ , for any large  $M$  satisfying (3.11).

We go on to show that if  $M$  is large, and satisfies (3.11), then  $F$  has no zeros in  $D_M$ , and  $v_M \leq 1$ . From (3.14).

$$\begin{aligned} T(r, F' - 1) &= T(r, F') + O(1) \\ &< (1 + o(1)) T(r, F) \\ &< \frac{6}{\log q} v_M (\log r)^2 \end{aligned} \quad (3.15)$$

n.e. in  $q^{\frac{1}{2}} |a_M| \leq r \leq |a_{M+1}| - 1$ . In particular, if  $M$  is large and satisfies (3.11),

$$T(q^{\frac{1}{2}} |a_M|, F' - 1) < \frac{7}{\log q} v_M (\log |a_M|)^2 \quad (3.16)$$

since (3.14) holds for some  $r$  with  $q^{1/2} |a_M| \leq r \leq q^{3/4} |a_M|$ . Now suppose that the  $l$ -points of  $F'$  in  $D_M$  are  $c_1, \dots, c_{p_M}$ . We set

$$P(z) = \prod_{k=1}^{p_M} (z - c_k) \quad (3.17)$$

and

$$F'(z) - 1 = h(z)P(z) \quad (3.18)$$

On the circle  $|z - a_M| = 4$ ,

$$\log |P(z)| > p_M \log 3$$

(noting that  $p_M \geq v_M \geq 1$ ) while

$$\begin{aligned} \log|F'(z) - 1| &\leq \log M(|a_M| + 4, F' - 1) \\ &\leq \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} T(q^{\frac{1}{4}}|a_M|, F' - 1). \end{aligned} \quad (3.19)$$

Thus, on  $|z - a_M| = 4$ , from (3.19),

$$\begin{aligned} \log|h(z)| &< \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} T(q^{\frac{1}{4}}|a_M|, F' - 1) \\ &\quad - p_M \log 3 \end{aligned}$$

and the same estimate holds in  $|z - a_M| < 4$ , by the maximum principle. But for  $z$  in the disc  $D_M$ , we have  $|z - c_k| < 2\rho_M$ , and thus using (3.16),

$$\begin{aligned} \log|F'(z) - 1| &= \log|P(z)| + \log|h(z)| \\ &< p_M \log 2 - p_M \log 3 \\ &\quad + \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} \frac{7}{\log q} v_M (\log|a_M|)^2 \\ &< p_M \left( \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} \frac{8}{\log q} (\log|a_M|)^2 \right) \\ &\quad - p_M \log \frac{1}{\rho_M} \\ &< 0, \end{aligned} \quad \wedge \rho_M$$

by (3.2), provided  $M$  is large enough. In particular,  $F'(z) \neq 0$  in  $D_M$ . Since zeros of  $F$  are zeros of  $F'$ , we conclude that  $F$  cannot have any zeros in  $D_M$ , if  $M$  is large and satisfies (3.11).

To show that  $v_M \leq 1$ , we note that, if  $M$  is large enough,

$$\begin{aligned} T(q^{\frac{1}{4}}|a_M|, h) &\leq T(q^{\frac{1}{4}}|a_M|, F' - 1) + T(q^{\frac{1}{4}}|a_M|, \frac{1}{P}) \\ &\leq T(q^{\frac{1}{4}}|a_M|, F' - 1) + T(q^{\frac{1}{4}}|a_M|, P) \\ &\leq \frac{7}{\log q} v_M (\log|a_M|)^2 + p_M \log 2q^{\frac{1}{4}}|a_M| \\ &\leq \frac{8}{\log q} p_M (\log a_M)^2 \end{aligned} \quad (3.20)$$

using (3.16), and noting that  $|P(0)| > 1$ .

We estimate  $|\log|h(z)||$  for  $z = re^{i\theta}$  lying in  $|z - a_M| \leq 4$ .



The Poisson-Jensen formula, applied to  $h$  in  $|\omega| < R = q^{\frac{1}{2}}|a_M|$ , yields

$$\log|h(z)| = \frac{1}{2\pi} \int_0^{2\pi} \log|h(Re^{i\theta})| \frac{(R^2 - r^2)d\phi}{R^2 + r^2 - 2Rr \cos(\theta - \phi)}$$

$$- \sum_{\xi} \log \left| \frac{R^2 - \bar{\xi}z}{R(\xi - z)} \right| \tag{3.21}$$

$\xi = \text{zeros of } h \text{ in } |\omega| < R$

Now, any zero,  $\xi$  say, of  $h$  in  $|\omega| < R$  is a zero of  $F' - 1$ , and thus  $|\xi| < |a_{M-1}| + 1$ , and so  $|\xi - z| \geq \frac{1}{2}(1 - \frac{1}{q})|a_M|$ , provided  $M$  is large enough. Thus (3.12) yields

$$\left| \log|h(z)| \right| \leq \frac{R+r}{R-r} \left( m(r,h) + m(R, \frac{1}{h}) \right)$$

$$+ n \left( |a_{M-1}| + 1, \frac{1}{F' - 1} \right) \log \frac{4R}{(1 - \frac{1}{q})|a_M|}$$

$$\leq \hat{c}_1 (m(R,h) + m(R, \frac{1}{h}))$$

$$+ \hat{c}_2 T(R, F' - 1) \tag{3.22}$$

where we use  $\hat{c}_1, \hat{c}_2, \hat{c}_3, \dots$  to denote constants depending only on  $q$ . But

$$m(R, \frac{1}{h}) \leq m(R, \frac{1}{F' - 1}) + m(R, P)$$

$$\leq T(R, F' - 1) + p_M \log 2R + O(1)$$

and thus (recalling that  $R = q^{\frac{1}{2}}|a_M|$ ) we have, from (3.16), (3.20) and (3.22)

$$\left| \log|h(z)| \right| \leq \hat{c}_3 p_M (\log|a_M|)^2$$

for  $|z - a_M| \leq 4$ . Thus by Lemma 2,

$$\left| \frac{h'(z)}{h(z)} \right| \leq \hat{c}_4 p_M (\log|a_M|)^2$$

for  $|z - a_M| \leq 2$ . But  $P(z)$  has all its zeros in the disc  $D_M$ , and so, by

Lemma 1,

$$\left| \frac{P'(z)}{P(z)} \right| > \frac{2}{\rho_M} p_M$$

on  $|z - a_M| = \rho_M$ , and hence, using (3.2)

$$|P'(z)h(z)| > |P(z)h'(z)|$$

on  $|z - a_M| = \rho_M$ , if  $M$  is large enough. But, from (3.18),

$$F''(z) = P'(z)h(z) + P(z)h'(z)$$

and so, by Rouché's Theorem,  $F''$  has the same number of zeros in  $|z - a_M| < \rho_M$  as  $P'(z)h(z)$ . But  $h(z)$  does not vanish in  $D_M$ , while  $P'(z)$  has  $p_M - 1$  zero, which all lie in the convex hull of the set of zeros of  $P(z)$  ([1], p. 29) and hence all lie in  $D_M$ . So  $F''$  has  $p_M - 1$  zeros in  $D_M$ , and since, as we saw earlier,  $F$  does not vanish in  $D_M$ , we have

$$t_M = p_M - 1$$

for all large enough  $M$  satisfying (3.11); hence, for such  $M$ ,

$$v_M = p_M - t_M = 1$$

and thus  $v_n \leq 1$  for all large  $n$ .

Returning to the estimate (3.10), we now have

$$T(r, F) < \frac{9}{2} \sum_{n=1}^M \log \frac{r}{|a_n|}$$

and hence, using (3.13),

$$T(r, F) < \frac{5}{\log q} (\log r)^2 \quad (3.23)$$

n.e. in  $q^{\frac{1}{2}}|a_M| \leq r \leq |a_{M+1}| - 1$ , if  $M$  is large enough, and hence

$$T(r, F) = O(\log r)^2$$

for all large  $r$ ; in particular,  $F$  has order zero, and so (3.23) holds for

$r = q^{\frac{1}{2}}|a_M|$ , if  $M$  is large. Moreover,

$$\begin{aligned} T(r, F' - 1) &\leq (1 + o(1))T(r, F) \\ &= O(\log r)^2 \end{aligned} \quad (3.24)$$

and, for large  $M$ ,

$$\begin{aligned} T(q^{\frac{1}{2}}|a_M|, F' - 1) &< (1 + o(1)) \frac{5}{\log q} (\log q^{\frac{1}{2}}|a_M|)^2 \\ &< \frac{6}{\log q} (\log |a_M|)^2. \end{aligned} \quad (3.25)$$

We are now in a position to obtain a contradiction. We take a positive  $\varepsilon$  so small that the discs  $B(a_n, 4\varepsilon|a_n|)$  are disjoint. Since all large zeros of  $F' - 1$  lie in the discs  $D_n = B(a_n, \rho_n)$  we see from Theorem D that

$|F'(z) - 1| > 10$ , say, for large  $z$  outside the union of the discs  $B(a_n, \epsilon|a_n|)$ .

Now consider a large zero,  $z_0$ , of  $f(z)$  (note that since  $F$  has order zero but is assumed transcendental,  $F$  and hence  $f$  must have infinitely many zeros).

Since  $F'(z) = f^N(z)f'(z)$  we have  $F'(z_0) = 0$ , and hence  $z_0$  must lie in some disc  $B(a_M, \epsilon|a_M|)$ ; but then, by Rouché's Theorem,  $F'$  must have a 1-point,  $\omega_0$  say, in  $B(a_M, \epsilon|a_M|)$ , which must lie in the smaller disc  $D_M$ .

We show now that

$$\log|F'(z) - 1| < 0 \text{ in } D_M. \tag{3.26}$$

On the circle  $|z - a_M| = 4$ , we have  $|z - \omega_0| > 3$ , while

$$\begin{aligned} \log|F'(z) - 1| &\leq \log M(|a_M| + 4, F' - 1) \\ &< \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} \frac{6}{\log q} (\log|a_M|)^2 \end{aligned}$$

using (3.25). But then, by the maximum principle,

$$\begin{aligned} \log \left| \frac{F'(z) - 1}{z - \omega_0} \right| &< \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} \frac{6}{\log q} (\log|a_M|)^2 \\ &\quad - \log 3 \end{aligned}$$

for  $|z - a_M| \leq 4$ , and so, for  $|z - a_M| < \rho_M$ ,

$$\begin{aligned} \log|F'(z) - 1| &< \frac{q^{\frac{1}{4}} + 1}{q^{\frac{1}{4}} - 1} \frac{6}{\log q} (\log|a_M|)^2 \\ &\quad - \log 3 + \log(2\rho_M) \\ &< 0 \end{aligned}$$

using (3.2). Thus (3.26) holds, and  $\operatorname{Re}(F'(z)) > 0$  in  $D_M$ .

In particular,  $z_0$  cannot lie in  $D_M$ , since  $F'(z_0) = 0$ ; however,  $z_0$  lies in a component of  $\{z : |F'(z)| < 1\}$  whose boundary is a level curve  $\Gamma$ , contained entirely in  $B(a_M, \epsilon|a_M|)$ , on which  $|F'(z)| = 1$ . Since  $\Gamma$  must close in  $B(a_M, \epsilon|a_M|)$ ,  $\Gamma$  must pass through a 1-point of  $F'(z)$  and hence must meet  $D_M$ . Consider now a ray from  $z_0$  which does not meet the disc  $D_M$ , and suppose that the first point of intersection of this ray with  $\Gamma$  is at  $z_1$ , say. Starting from  $z_1$  we may pass along  $\Gamma$  in either direction until the first point of intersection with the closed disc  $\bar{D}_M$ , and suppose that these points are

$z_2, z_3$ , say. Then we may form a closed curve  $J$ , consisting of the line-segment from  $z_2$  to  $z_3$  and the arc of  $\Gamma$  which contains  $z_1, z_2$ , and  $z_3$  and in whose interior lies  $z_0$ . We consider  $\arg F'(z)$  on this composite curve  $J$ .

On the open line-segment from  $z_2$  to  $z_3$  (which is contained in  $D_M$ ) we have  $\operatorname{Re}(F'(z)) > 0$  and so  $\arg F'(z)$  cannot change by more than  $\pi$  as  $z$  moves from  $z_2$  to  $z_3$  along this line-segment. On the arc of  $\Gamma$  which contains  $z_1, z_2$ , and  $z_3$  (and which forms the other component of  $J$ ) we have  $|F'(z)| = 1$  but this arc passes through no 1-points of  $F'(z)$ . Hence  $\arg F'(z)$  cannot change by more than  $2\pi$  as  $z$  moves from  $z_3$  to  $z_2$  along this arc. We conclude that  $\arg F'(z)$  cannot increase by more than  $3\pi$  as  $z$  describes the closed curve  $J$  once (in either direction) and hence by the argument principle  $F'(z)$  can have at most one zero in the interior of the curve  $J$ . But  $z_0$  lies in this interior, and is a zero of  $F'(z)$  of multiplicity at least  $N$ , i.e. at least 2. Thus we have obtained a contradiction and conclude that  $f(z)$  cannot be transcendental.

## REFERENCES

- [1] Ahlfors, L.V., "Complex Analysis", McGraw-Hill, 1966.
- [2] Anderson, J.M., Baker, I.N. and Clunie, J., "The Distribution of Values of Certain Entire and Meromorphic Functions", Math. Zeit., Band 178, Heft 4, (1981).
- [3] Anderson, J.M. and Clunie, J., "Picard Sets of Entire and Meromorphic Functions", Ann. Acad. Sci. Fenn. Ser. A15 (1980), 27-43.
- [4] Baker, I.N. and Liverpool, L.S.O., "Picard Sets for Entire Functions", Math. Zeitschrift 126 (1972), 230-238.
- [5] Clunie, J., "On a Result of Hayman", Journal of the London Math. Soc. 47 (1967), 389-392.
- [6] Hayman, W.K., "Picard Values of Meromorphic Functions and Their Derivatives", Ann. of Math. (2), 70 (1959), 9-42.
- [7] Hayman, W.K., "Slowly Growing Integral and Subharmonic Functions", Comment. Math. Helv. 34 (1960), 75-84.
- [8] Hayman, W.K., "Meromorphic Functions", Oxford, 1964.
- [9] Toppila, S., "On the Value Distribution of Meromorphic Functions with a Deficient Value", Ann. Acad. Sci. Fenn., Ser. A15 (1980), 179-184.

J.K. LANGLEY  
Department of Mathematics  
Imperial College  
London, England  
and

DEPARTMENT OF MATHEMATICS  
UNIVERSITY OF ILLINOIS  
URBANA, ILLINOIS

