

# Self-points on elliptic curves

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## Abstract

Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . We consider trace-compatible towers of modular points in the non-commutative division tower  $\mathbb{Q}(E[p^\infty])$ . Under weak assumption we can prove that all these points are of infinite order. Furthermore, we use Kolyvagin's construction of derivate classes to find explicit elements in certain Tate-Shafarevich groups.

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## 1 Introduction

### 1.1 Definition of self-points

Let  $E/\mathbb{Q}$  be an elliptic curve. Write  $N$  for its conductor. As proved in [BCDT01], there exists a modular parametrisation

$$\varphi_E: X_0(N) \longrightarrow E$$

which is a surjective morphism defined over  $\mathbb{Q}$  mapping the cusp  $\infty$  on the modular curve  $X_0(N)$  to  $O$ . The open subvariety  $Y_0(N)$  in  $X_0(N)$  is a moduli space for the set of couples  $(A, C)$  where  $A$  is an elliptic curve and  $C$  is a cyclic subgroup in  $A$  of order  $N$ . More precisely, if  $k/\mathbb{Q}$  is a field, then  $Y_0(N)(k)$  is in bijection with the set of such couples  $(A, C)$  with  $A$  and  $C$  defined over  $k$ , up to isomorphism over the algebraic closure  $\bar{k}$ .

In particular, we may consider the couple  $x_C = (E, C)$  for any given cyclic subgroup  $C$  of order  $N$  in  $E$  as a point in  $Y_0(N)(\mathbb{C})$ . Its image  $P_C = \varphi_E(x_C)$  under the modular parametrisation is called a *self-point* of  $E$ . The field of definition of the point  $P_C$  on  $E$  is the same as the field of definition  $\mathbb{Q}(C)$  of  $C$ . The compositum of all  $\mathbb{Q}(C)$  will be denoted by  $K_N$ ; it is the smallest field  $K$  such that the Galois group  $\text{Gal}(\bar{K}/K)$  acts by scalars on  $E[N]$ .

More generally, for any integer  $m$  we define a number field  $K_m$  as follows. There is a Galois representation attached to the  $m$ -torsion points on  $E$

$$\bar{\rho}_m: \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{Aut}(E[m]) \cong \text{GL}_2(\mathbb{Z}/m\mathbb{Z}) \longrightarrow \text{PGL}_2(\mathbb{Z}/m\mathbb{Z}).$$

The field  $K_m$  is the field fixed by the kernel of  $\bar{\rho}_m$ . The Galois group of the extension  $K_m/\mathbb{Q}$  can be viewed via  $\bar{\rho}_m$  as a subgroup of  $\text{PGL}_2(\mathbb{Z}/m\mathbb{Z})$ .

We will call *higher self-point* the image under  $\varphi_E$  of any couple  $(A, C)$  where  $A$  is an elliptic curve which is isogenous to  $E$  over  $\bar{\mathbb{Q}}$ . Though, the most interesting case of higher self-points is the case when the isogeny between  $E$  and  $A$  is of degree a prime power  $p^n$ . In particular this prime  $p$  is allowed to divide the conductor  $N$ .

This construction imitates the definition of Heegner points, where one uses couples  $(A, C)$  with  $A$  having complex multiplication. More generally, modular points on elliptic curves were considered earlier by Harris in [Har79] without any restriction on  $A$ . This article is a sequel to the previous

articles [DW08] and [Wut07] on self-points, where we have emphasised already that the theory of self-points differs from the well-known theory of Heegner points. For instance, there does not seem to be a link between the root numbers and the question of whether the self-points are of infinite order.

We present here not only a generalisation of the previous results on self-points, but also we introduce the construction of derivative classes à la Kolyvagin. Indeed, Kolyvagin [Kol90] was able to find upper bounds on certain Selmer groups by constructing cohomology classes starting from Heegner points. We propose here to do the analogue for self-points. But the situation is radically different as the Galois groups involved are non-commutative and rather than finding upper bounds of Selmer groups over the base field, we will find *lower* bounds on Selmer groups over certain number fields.

## 1.2 The results for self-points

The main question that arises first is whether we can determine if the self-points are of infinite order in the Mordell-Weil group  $E(\mathbb{Q}(C))$ . It was shown in [DW08] that the self-points are always of infinite order if the conductor is a prime number. We extend here the method and provide a framework to treat the general case. In theorem 15 we will prove the following.

*Theorem 1. Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve of conductor  $N \neq 30$  or  $210$ . Then all the self-points are of infinite order*

But the methods are more general and we are able to prove that they are of infinite order in most cases. In fact, we conjecture that this holds whenever  $E$  does not admit complex multiplication. In section 6.2 we will give a self-point of finite order on a curve with complex multiplication. In the largest generality, we are able to prove in theorem 5 that there is at least one self-point of infinite order under the assumption that  $j(E) \notin \frac{1}{2}\mathbb{Z}$ .

Next we address the question of the rank of the group generated by self-points in  $E(K_N)$ . If  $N$  is prime, we saw that the only relation among the self-points is that the sum of all of them is a torsion point in  $E(\mathbb{Q})$ . For a general conductor, we find that for all proper divisors  $d$  of  $N$  and all cyclic subgroups  $B$  in  $E$  of order  $d$ , the sum of all self-points  $P_C$  with  $C \supset B$  is torsion. This is proved in proposition 7 as a consequence of the existence of the degeneracy maps on modular curves. For a lot of semi-stable curves we prove in theorem 17 that these are the only relations among self-points.

*Theorem 2. Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve. Suppose that  $N \neq 30$  or  $210$ . Suppose that for each prime  $p \mid N$  such that  $\bar{\rho}_p$  is not surjective, there is a prime  $\ell \mid N$  such that the Tamagawa number  $c_\ell$  is not divisible by  $p$ . Then the group generated by the self-points is of rank  $N$ .*

We conjecture that this holds more generally.

*Conjecture 1. Let  $E/\mathbb{Q}$  be an elliptic curve without complex multiplication. Then all the self-points are of infinite order and the only relations among them are produced by the degeneracy maps. In particular, the rank of the group generated by self-points should be equal to*

$$\delta(N) = \prod_{p \mid N} \left[ (1 - p^{-2}) \cdot p^{\text{ord}_p(N)} \right],$$

where  $[x]$  denotes the smallest integers larger or equal to  $x$ .

The expression  $\delta(N)$  in the conjecture is equal to  $N$  if and only if  $N$  is square-free.

### 1.3 The results for higher self-points

We are particularly interested in higher self-points that are modular points coming from a couple  $(E', C')$  where  $E'$  has an isogeny to  $E$  of degree a power of a prime  $p$ . There are two cases that we treat: when  $p$  is a prime of good reduction and when  $p$  is a prime of multiplicative reduction.

For simplicity we only sketch the results for the good case here. See section 7 for more details.

Let  $D$  be a cyclic subgroup of  $E$  of order  $p^{n+1}$  and let  $E' = E/D$ . Given any self-point  $P_C$ , we may consider the image  $C'$  of  $C$  under the isogeny  $E \rightarrow E'$ . The higher self-point  $Q_D$  is defined to be the image of  $(E', C') \in Y_0(N)$  under the modular parametrisation  $\varphi_E$ . It is a point in the Mordell-Weil group of  $E$  over the field  $\mathbb{Q}(C, D)$ , which is contained in  $K_{p^{n+1}N}$ . In corollary 23, we are able to prove that the higher self-points are all of infinite order in some cases.

*Theorem 3. Let  $E/\mathbb{Q}$  be a semi-stable curve of conductor  $N \neq 30$ , or 210. Suppose that  $p$  is a prime such that  $p > N$ , and such that  $\bar{\rho}_p: \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{PGL}_2(\mathbb{F}_p)$  is surjective. Let  $s$  be the rank of the group generated by self-points in  $E(K_N)$ . Then the higher self-points in  $E(K_{p^{n+1}N})$  generate a group of rank at least  $s \cdot (p+1) \cdot p^n$ .*

If one assumes that the prime is of ordinary reduction for  $E$ , one can weaken the condition on the bad reduction substantially.

Furthermore these higher self-points are trace-compatible in the following sense. Let  $D$  be a cyclic subgroup of order  $p^{n+1}$  and let  $a_p$  be the  $p^{\text{th}}$  Fourier coefficient of the modular form associated to the isogeny class of  $E$ . Then we have

$$\sum_{D' \supset D} Q_{D'} = a_p \cdot Q_D$$

where the sum runs over all cyclic subgroup  $D'$  of order  $p^{n+2}$  containing  $D$ . If the Galois representation  $\rho_{K_N, p}: \text{Gal}(\bar{K}_N/K_N) \rightarrow \text{PGL}_2(\mathbb{Z}_p)$  is surjective then we can reformulate this equation, by saying that the trace of  $Q_{D'}$  from its field of definition to the field of definition of  $Q_D$  is equal to  $a_p \cdot Q_D$ . This trace compatibility reminds of the definition of an Euler system; but the field  $\mathbb{Q}(C, D)$  is not even Galois and  $F_n/F$  is not an abelian extension.

The higher self-points are the only known towers of points of infinite order in the division tower  $\mathbb{Q}(E[p^\infty])$  of  $E$ . But the growth of the rank of the Mordell-Weil group should often be faster than the lower bound  $(p+1)p^n$  that we establish here in many cases. This is due to changing signs in the functional equations and the corresponding parity results on the corank of Selmer groups. See [CFKS06] and [MR07]. These results predict, under the assumption of the finiteness of the Tate-Shafarevich group, that there should be more points of infinite order in the division tower not encountered for by higher self-points. Furthermore the higher self-points do not seem to be linked in an obvious way to root numbers. Also it is completely unknown if there is a relation to  $L$ -functions (or to non-commutative  $p$ -adic  $L$ -functions as in [CFK<sup>+</sup>05]) in analogy to the Gross-Zagier formula for Heegner points.

### 1.4 Derivatives

In [Kol90], Kolyvagin has used Heegner points of infinite order to construct cohomology classes that obstruct the existence of further points of infinite order. We aim to use a similar construction to build cohomology classes from higher self-points of infinite order.

Let  $p$  be a prime of either good ordinary reduction or of multiplicative reduction. If  $p$  does not divide the conductor  $N$ , define  $F_n = K_{p^{n+1}N}$ , otherwise let  $F_n = K_{p^n N}$ . Put  $F = F_{-1}$ . If we suppose that

$$\rho_{F, p}: \text{Gal}(\bar{F}/F) \rightarrow \text{PGL}_2(\mathbb{Z}_p)$$

is surjective, then  $\text{Gal}(F_n/F) = \text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . We are interested in a particular cyclic subgroup  $A$  in  $\text{Gal}(F_n/F)$ . Choosing a  $\mathbb{Z}_p$ -basis of the quadratic unramified extension  $\mathcal{O}$  of  $\mathbb{Z}_p$  gives a map

$$\mathcal{O}^\times \longrightarrow \text{GL}_2(\mathbb{Z}_p) \longrightarrow \text{PGL}_2(\mathbb{Z}_p) \longrightarrow \text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z}),$$

whose image is a cyclic group  $A_n$  of order  $(p+1) \cdot p^n$ . By a slight abuse of notation we will denote the subfield of  $F_n$  fixed by  $A_n$  by  $F_n^A$ .

The construction of derivatives provides us with a map

$$\partial_n: H^1(A_n, S) \longrightarrow \text{III}(E/F_n^A).$$

The source is a cohomology group of the saturated higher self-points (see section 8 for the definitions). Although we do not know its exact structure, we can prove that it contains at least  $p^n$  elements. It seems plausible to think that the map  $\partial_n$  is very often injective, but we do have no means to prove this in a single case. Nevertheless, we are able to show the existence of points of infinite order in  $E(F_n^A)$  whenever the map is not injective. Here the final result in theorem 24.

*Theorem 4. Let  $E/\mathbb{Q}$  be an elliptic curve. Suppose that  $E$  does not have potentially good supersingular reduction for any prime of additive reduction. Let  $p$  be a prime of either good ordinary or multiplicative reduction. Assume that  $\rho_{F,p}$  is surjective and that  $K_N$  contains a self-point of infinite order. Then we have*

$$\# \text{Sel}_{p^n}(E/F_n^A) \geq p^n.$$

The construction of derivatives relies on a property of modular representation theory. The higher self-points generate in the Mordell-Weil group a copy of the irreducible Steinberg representation. More precisely, if  $H_n$  denotes  $\text{Gal}(F_n/F)$ , there is a certain  $\mathbb{Q}[H_n]$ -module in  $E(F_n) \otimes \mathbb{Q}$  which is irreducible. But this is no longer irreducible over  $\mathbb{F}_\ell[H_n]$  when  $\ell$  divides  $(p+1) \cdot p^n$ . The idea of using modular representation theory to study Selmer groups is developed by Greenberg in [Gre08] and could maybe shed new light on these derivatives.

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## 2 The fundamental theorem

In this section we prove the following theorem.

*Theorem 5. Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . Suppose that the  $j$ -invariant of  $E$  is not in  $\frac{1}{2}\mathbb{Z}$ , then there is at least one self-point  $P_C$  of infinite order in  $E(K_N)$ .*

*Proof.* Let  $p$  be a prime which divides the denominator of the  $j$ -invariant of  $E$ . If possible, we avoid  $p = 2$ . Note that  $p^2$  may divide  $N$ , but we know that  $E$  acquires multiplicative reduction over some extension of  $\mathbb{Q}$  at  $p$ .

First we fix an embedding of  $\bar{\mathbb{Q}}$  into  $\bar{\mathbb{Q}}_p$ . We consider the modular parametrisation over  $\bar{\mathbb{Z}}_p$ . The modular curve  $X_0(N)$  over  $\bar{\mathbb{Z}}_p$  has a neighbourhood of the cusp  $\infty$  consisting of couples  $(A, C)$  of a Tate curve of the form  $A = \bar{\mathbb{Q}}_p^\times / q^\mathbb{Z}$  together with a cyclic subgroup  $C$  of order  $N$  generated by the  $N^{\text{th}}$  root of unity. The parameter  $q$  is a  $p$ -adic analytic uniformiser at  $\infty$ , so that the  $\text{Spf } \bar{\mathbb{Z}}_p[[q]]$  is the formal completion of  $X_0(N)/\bar{\mathbb{Z}}_p$  at the cusp  $\infty$ , see chapter 8 of [KM85].

Let  $f_E = \sum a_n q^n$  be the normalised newform associated to  $E$  and so  $f_E/q \cdot dq$  is the associated differential. Let  $c_E$  be the Manin constant (of the not necessarily strong Weil curve  $E$ ), which by definition is the number such that  $\varphi_E^*(\omega_E) = c_E \cdot f_E/q \cdot dq$  where  $\omega_E$  is the invariant differential on  $E$ . The rigid analytic map induced by  $\varphi_E$  on the completion can now be characterised as

$$\log_E(\varphi_E(q)) = \int_O^{\varphi_E(q)} \omega_E = c_E \cdot \int_0^q f_E \frac{dq}{q} = c_E \cdot \sum_{n \geq 1} \frac{a_n}{n} \cdot q^n. \quad (1)$$

Here  $\log_E$  denotes the formal logarithm associated to  $E$  from the formal group  $\hat{E}(\bar{\mathfrak{m}})$  to the maximal ideal  $\hat{\mathbb{G}}_a(\bar{\mathfrak{m}}) = \bar{\mathfrak{m}}$  of  $\bar{\mathbb{Z}}_p$ . We deduce from this description the following lemma that will be useful later. Write  $|\cdot|_p$  for the normalised absolute value such that  $|p|_p = p^{-1}$ .

*Lemma 6.* *Let  $(A, C)$  be a point in  $Y_0(N)(\bar{\mathbb{Q}}_p)$  such that  $A$  is isomorphic to the Tate curve with parameter  $q_0 \neq 0$  and  $C$  is isomorphic to  $\mu[N]$ . If  $|q_0|_p < p^{-\frac{1}{p-1}}$ , then  $\varphi_E(A, C)$  is a point of infinite order on  $E(\bar{\mathbb{Q}}_p)$ .*

*Proof.* Under the condition on the absolute value of  $q_0$ , we know that the sum on the right hand side of (1) converges. We consider the sum

$$z = c_E \cdot \sum_{n \geq 1} \frac{a_n}{n} \cdot q_0^n.$$

Since the Manin constant is known to be an integer (see [Edi90]), the absolute value of the right hand side is

$$|z|_p = |c_E|_p \cdot \left| q_0 + \frac{a_p}{p} q_0^p \right|_p$$

as these are the terms of large absolute value. But note that the condition on  $q_0$  implies that the second term on the right hand side is actually slightly smaller than the first, and hence the absolute value of the sum is bounded by  $|z|_p = |c_E|_p \cdot |q_0|_p < p^{-\frac{1}{p-1}}$ . Therefore the value of  $z$  lies in the domain of convergence of the  $p$ -adic elliptic exponential  $\exp_E$  and we obtain that  $\varphi_E(A, C) = \exp_E(z)$ . Since we know that  $|z|_p \neq 0$ , we can deduce that  $\exp_E(z)$  is not a torsion point in  $E(\bar{\mathbb{Q}}_p)$ .  $\square$

We now proceed to the proof of the theorem. Since  $E$  has multiplicative reduction over  $\bar{\mathbb{Z}}_p$ , there is exactly one of the  $x_C = (E, C)$  in the neighbourhood of  $\infty$  on  $X_0(N)$  represented by the  $p$ -adic Tate parameter  $q_E$  associated to  $E$  together with the group  $C$  isomorphic to  $\mu[N]$ . If  $p \neq 2$ , then we know that

$$|q_E|_p = |j(E)|_p^{-1} \leq p^{-1} < p^{-\frac{1}{p-1}}$$

and if  $p$  had to be chosen to be equal to 2 in the beginning then we know that

$$|q_E|_2 = |j(E)|_2^{-1} \leq p^{-2} < p^{-\frac{1}{p-1}}.$$

Hence in any case, the lemma applies and provides us with a point of infinite order among the self-points.  $\square$

Note that if the chosen prime  $p$  is such that  $p^2$  does not divide  $N$  then  $q_E$  lies in  $p^v \mathbb{Z}_p$ , where  $v = -\text{ord}_p(j(E))$ . Hence the point  $P_C$  in the proof will be defined over  $\mathbb{Q}_p$ .

The restriction at  $p = 2$  seems unnecessary. Often one can deduce the result of the theorem by hand for curves whose  $j$ -invariant is an odd integer divided by 2. We present here an easy example. For the curve 2450o1 in Cremona's tables [Cre97] with  $j$ -invariant  $-\frac{189}{2}$ , the 2-adic Tate

parameter is equal to  $2 + 2^2 + 2^4 + \mathbf{O}(2^9)$  and the newform is  $f_E = q - q^2 + q^4 + \mathbf{O}(q^8)$ . From this one concludes that  $\log_E(P_C) = 2^3 + \mathbf{O}(2^5)$ . So  $P_C$  is of infinite order. Nevertheless we do not see any easy argument to prove that  $P_C \neq O$  for a general curve with  $j(E) \in \frac{1}{2}\mathbb{Z} \setminus \mathbb{Z}$  as it seems that the 2-adic valuation of  $\log_E(P_C)$  can be arbitrary large.

## 2.1 A torsion self-point

This theorem could still be valid if  $E$  is a curve with integral  $j$ , though not all self-points are of infinite order. We present here a surprisingly easy example of a self-point that is torsion.

The curve 27a2 admits a cyclic isogeny of degree 27 defined over  $\mathbb{Q}$  to the curve 27a4. Let  $E$  be any of the two curves. So  $E$  has exactly one cyclic subgroup of order 27 defined over  $\mathbb{Q}$ , i.e. the curve  $E$  admits a self-point in  $E(\mathbb{Q})$ . Since the rank of  $E(\mathbb{Q})$  is zero, the self-point has to be of finite order. Note that these curves have complex multiplication. See section 6.2 for more detailed computations on these self-points.

## 3 Relations

In [DW08] it is shown that the self-points on a curve of prime conductor satisfy exactly one relation. What kind of relations could occur among the self-points for a curve of conductor  $N$ ? Here is a first part of an answer. But first, we need some more notations. The Galois group  $G = G_N = \text{Gal}(K_N/\mathbb{Q})$  was identified with a subgroup of  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$ . For any divisor  $d$  of  $N$ , we define the image of  $G_N$  under the projection  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z}) \longrightarrow \text{PGL}_2(\mathbb{Z}/d\mathbb{Z})$  as  $G_d$  and  $K_d$  its fixed field in  $K_N$ . In other words  $K_d$  is the smallest number field for which the absolute Galois groups acts by scalars on  $E[d]$ .

*Proposition 7. The sum of all self-points is a torsion point defined over  $\mathbb{Q}$ . Let  $d \neq N$  be a integer dividing  $N$ , then there are relations of the form*

$$R_B: \quad \sum_{C \supset B} P_C \text{ is torsion in } E(K_d),$$

*where  $B$  is any given cyclic subgroup of order  $d$  and  $C$  runs through all cyclic groups of order  $N$  containing  $B$ .*

*Proof.* There is a map from  $\pi: X_0(N) \longrightarrow X_0(d)$  inducing a map  $\pi^*: J_0(d) \longrightarrow J_0(N)$  on Jacobians. Given a cyclic subgroup  $B$  of order  $d$  on  $E$ , we may consider the point  $x_B = (E, B)$  on  $X_0(d)$ . The divisor class

$$\pi^*[(x_B) - (\infty)] = \sum_{C \supset B} [(x_C)] - \pi^*[(\infty)]$$

is in the image of  $\pi^*$  in  $J_0(N)$  and hence in the kernel of the map  $\varphi_E: J_0(N) \longrightarrow E$  because  $N$  is the exact conductor of  $E$ . This gives the relation  $R_B$ .

Taking  $d = 1$  gives the result that the sum of all self-points is a torsion point. Since this sum is fixed by the Galois group, it has to be a rational point.  $\square$

## 4 The Steinberg representations

The aim is to describe certain irreducible representations that will appear in the study of self-points. Let  $N > 1$  be an integer. We are interested in the group  $P = \text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$ . We will decompose

the  $\mathbb{Q}[P]$ -module  $V$  whose basis  $\{e_C\}$  as a  $\mathbb{Q}$ -vector space is in bijection with the projective line  $\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})$  and the action of  $P$  is given by the usual permutation on the basis. So it can be written as

$$V = \bigoplus_{C \in \mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})} \mathbb{Q} e_C = \text{Ind}_B^P(\mathbb{1}_B)$$

where  $B$  is a Borel subgroup of  $P$  and  $\mathbb{1}_B$  is its trivial representation.

**Theorem 8.** *The  $\mathbb{Q}[\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -module  $V$  splits into the sum*

$$V = \bigoplus_{D|N} W_D$$

of irreducible  $\mathbb{Q}[\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -modules  $W_D$  where  $D$  runs through all divisors of  $N$ . Let  $D = \prod_p p^{d_p}$  be the prime decomposition of a divisor  $D$  of  $N$ . Define

$$\delta_p = p^{d_p} - [p^{d_p-2}] = [p^{d_p} - p^{d_p-2}] \begin{cases} 1 & \text{if } d_p = 0, \\ p & \text{if } d_p = 1 \text{ and} \\ p^{d_p} - p^{d_p-2} & \text{if } d_p > 1. \end{cases}$$

Then  $\mathbb{Q}[\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -module  $W_D$  has dimension  $\delta(D) = \prod_{p|D} \delta_p$  as a  $\mathbb{Q}$ -vector space.

*Proof.* We split the proof into three parts according to whether  $N$  is a prime, a prime power or any integer. The first two cases could also be treated by invoking theorem 3.3 in [Sil70] on page 58, but, since we need the explicit description of  $W_D$  later on, we prefer to prove this theorem in details. Since the proof is inductive on  $N$ , we will now write  $P_N$  for  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$  and  $V_N$  for its  $V$ .

**Case when  $N$  is prime:** Write  $p = N$ . The claim is simply that the  $\mathbb{Q}[P]$ -module  $V_p$  splits into two irreducible components  $W_1 \oplus W_p$ . We define  $W_1$  to be the 1-dimensional subspace of  $V$  generated by the vector  $v_1 = \sum_C e_C$  where the sum runs over all  $C$  in  $\mathbb{P}^1(\mathbb{F}_p)$ . Of course,  $W_1 = V_p^P$  is an irreducible  $\mathbb{Q}[P]$ -submodule of  $V_p$  and the space

$$W_p = \left\{ \sum a_C \cdot e_C \mid \sum a_C = 0 \right\}$$

is a complement to it. It remains to show that  $W_p$  is irreducible. Let  $g$  be an element of order  $p$  in  $P$ , such as the class of  $\begin{pmatrix} 1 & \\ & 0 \end{pmatrix}$ . On  $V_p \otimes \mathbb{C}$  the element  $g$  acts with eigenvalues  $\{1, 1, \zeta, \zeta^2, \dots, \zeta^{p-1}\}$  where  $\zeta$  is a primitive  $p^{\text{th}}$  root of unity. Hence on  $W_p$  every  $p^{\text{th}}$  root of unity appears exactly once as an eigenvalue. So the only possibility for  $W_p$  to split up in two  $\mathbb{Q}[P]$ -submodules would have to involve a 1-dimensional and a  $(p-1)$ -dimensional submodule.

As we can see from the fact that  $\text{PSL}_2(\mathbb{F}_p)$  is a simple group when  $p > 3$  and by direct calculations for  $p = 2$  and  $3$ , there are only two one-dimensional representations of  $\text{PGL}_2(\mathbb{F}_p)$ : the trivial representation and the one with kernel  $\text{PSL}_2(\mathbb{F}_p)$  of index 2. Since  $\text{PSL}_2(\mathbb{F}_p)$  acts transitively on  $\mathbb{P}^1(\mathbb{F}_p)$ , the one-dimensional subrepresentations of  $V_p$  must be contained in  $V_p^{\text{PSL}_2(\mathbb{F}_p)} = W_1$ .

**Case when  $N$  is a prime power:** We write  $N = p^k$  with  $p$  being prime. We will prove the statement by induction on  $k$ . The case  $k = 1$  has been treated already; thus we may assume that  $k \geq 2$ . The claim is that  $V_{p^k}$  splits as  $\bigoplus W_{p^m}$  where  $m$  runs from 0 to  $k$ .

There is a reduction map  $\alpha: \mathbb{P}^1(\mathbb{Z}/p^k\mathbb{Z}) \rightarrow \mathbb{P}^1(\mathbb{Z}/p^{k-1}\mathbb{Z})$  which is surjective and any fibre contains  $p$  elements. Define

$$V' = \left\{ \sum a_C e_C \mid a_C = a_{C'} \text{ whenever } \alpha(C) = \alpha(C') \right\}.$$

It is easy to see that  $V'$  is isomorphic as a vector space to  $V_{p^{k-1}}$  and the action of  $P_{p^k}$  factors through the quotient  $P_{p^k} \twoheadrightarrow P_{p^{k-1}}$  induced by reduction. By induction  $V'$  splits as a  $\mathbb{Q}[P_{p^{k-1}}]$ -module into the sum

$$V' = \bigoplus_{m=0}^{k-1} W_{p^m}$$

and this is also a decomposition of  $V'$  into irreducible  $\mathbb{Q}[P_{p^k}]$ -modules. As a complement to  $V'$ , we define

$$W_{p^k} = \left\{ \sum a_C e_C \mid \sum_{\alpha(C)=D} a_C = 0 \text{ for all } D \text{ in } \mathbb{P}^1(\mathbb{Z}/p^{k-1}\mathbb{Z}) \right\}.$$

It is clear that  $W_{p^k}$  is a  $\mathbb{Q}[P_{p^k}]$ -submodule of  $V_{p^k}$ . If  $k > 1$  then its dimension is equal to

$$\dim_{\mathbb{Q}} W_{p^k} = \#\mathbb{P}^1(\mathbb{Z}/p^k\mathbb{Z}) - \#\mathbb{P}^1(\mathbb{Z}/p^{k-1}\mathbb{Z}) = (p+1) \cdot p^{k-1} - (p+1) \cdot p^{k-2} = p^k - p^{k-2}$$

It remains to show that  $W_{p^k}$  is irreducible.

Let  $\infty$  be any point in  $\mathbb{P}^1(\mathbb{F}_p)$  and write  $U^\infty$  for the preimage of  $\infty$  under the reduction map  $\mathbb{P}^1(\mathbb{Z}/p^k\mathbb{Z}) \twoheadrightarrow \mathbb{P}^1(\mathbb{F}_p)$ . Within  $V$ , we define a linear subspace

$$V^\infty = \left\{ \sum a_C e_C \mid a_C = 0 \text{ if } C \in U^\infty \right\}$$

of dimension  $p^k$  and let  $W^\infty = W_{p^k} \cap V^\infty$  and  $V'^\infty = V' \cap V^\infty$ . Let  $g$  be an element of  $P_{p^k}$  of order  $p^k$  whose fixed points lie in  $U^\infty$ . If  $\infty$  is  $(0 : 1)$ , then we may take the class of the matrix  $\begin{pmatrix} 1 & \\ 0 & 1 \end{pmatrix}$ . The element  $g$  acts on  $V^\infty \otimes \mathbb{C}$  such that every  $p^k$ -th root of unity appears exactly once. The eigenvalues of  $g$  on the subspace  $V'^\infty$  are all  $p^{k-1}$ -st roots of unity. Hence on  $W^\infty$  every primitive  $p^k$ -th root of unity appears exactly once as an eigenvalue. So  $W^\infty$  is an irreducible  $\mathbb{Q}[\langle g \rangle]$ -module and so, if  $W_{p^k}$  splits as a  $\mathbb{Q}[P_{p^k}]$ -module then  $W^\infty$  has to be completely contained in one of the summands. But for any two distinct points  $\infty$  and  $\infty'$  in  $\mathbb{P}^1(\mathbb{F}_p)$  the spaces  $W^\infty$  and  $W^{\infty'}$  span the whole of  $W_{p^k}$ . Hence  $W_{p^k}$  can not be reducible.

**General case:** The general case follows fairly easily from the previous cases. Let  $N = \prod p^{n_p}$  be the prime decomposition of  $N$ . We may suppose that  $N$  is not a prime power as we have treated this case already. Now the group  $P_N$  splits as

$$P_N = \text{PGL}_2(\mathbb{Z}/N\mathbb{Z}) = \prod_{p|N} \text{PGL}_2(\mathbb{Z}/p^{n_p}\mathbb{Z}) = \prod_{p|N} P_{p^{n_p}}$$

by the Chinese remainder theorem. Similarly, we have

$$\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z}) = \prod_{p|N} \mathbb{P}^1(\mathbb{Z}/p^{n_p}\mathbb{Z}) \quad \text{and so} \quad V_N = \bigotimes_{p|N} V_{p^{n_p}}$$

as a  $\mathbb{Q}[P_N]$ -module. Now we use the previous case to rewrite

$$V_N = \bigotimes_{p|N} \bigoplus_{m=0}^{n_p} W_{p^m}.$$

Let  $D$  be any divisor of  $N$  and  $\prod p^{d_p}$  its prime factorisation, then define

$$W_D = \bigotimes_{p|D} W_{p^{d_p}}.$$

It is clear from the representation theory of direct products that  $W_D$  is irreducible. Rearranging the above decomposition of  $V_N$  we find the desired expression  $V_N = \bigoplus_{D|N} W_D$ .  $\square$



**Proposition 9.** *Let  $p$  be a prime. Let  $G$  be a subgroup of a Borel subgroup of  $\mathrm{PGL}_2(\mathbb{F}_p)$  acting on  $V = \bigoplus \mathbb{Q}e_C$ . Suppose that the class of  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  belongs to  $G$ . Then  $V$  decomposes into irreducible  $\mathbb{Q}[G]$ -modules as  $W_1 \oplus W'_1 \oplus W'_p$  where  $W'_p$  is an irreducible  $\mathbb{Q}[G]$ -module of dimension  $p - 1$ .*

*Proof.* Let  $C_0$  be the element of  $\mathbb{P}^1(\mathbb{F}_p)$  which is fixed by the Borel group containing  $G$ . By our assumption, we know that  $C_0$  is the only fixed point of  $G$  acting on  $\mathbb{P}^1(\mathbb{F}_p)$ . Hence  $V$  contains two linearly independent vectors that are fixed by  $G$ , namely  $e_{C_0}$  and  $v_0 = \sum_{C \neq C_0} e_C$ . The  $\mathbb{Q}[G]$ -submodule

$$W'_p = \left\{ \sum_{C \neq C_0} a_C \cdot e_C \mid \sum_{C \neq C_0} a_C = 0 \right\}$$

is a complement to  $V^G$ . Now use the class  $g$  of the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  as before to show that  $W'_p$  is irreducible as the eigenvalues of  $g$  on  $W'_p$  are exactly the set of all primitive  $p$ -th roots of unity.  $\square$

In fact one can show that the theorem 8 holds even as  $\mathbb{C}[\mathrm{PGL}_2(\mathbb{Z}/N\mathbb{Z})]$ -modules. On the other hand the previous proposition really relies on the fact that we are only considering decompositions as  $\mathbb{Q}[G]$ -modules. For instance we may well take  $G$  to be the cyclic group generated by the matrix  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ; then of course  $W'_p \otimes \mathbb{C}$  will split into 1-dimensional representations. But since the  $p$ -th roots of unity are not all defined over  $\mathbb{Q}$ , at least if  $p > 2$ , this decomposition does not hold in general for  $W'_p$ .

We can now reformulate the statement of proposition 7 as follows. There is a  $G$ -equivariant map  $\iota: V_N \longrightarrow E(K_N) \otimes \mathbb{Q}$ , defined by sending  $e_C$  to  $P_C$ . It has a kernel containing all submodules  $W_d$  for  $d \neq N$  dividing  $N$ . So it induces a map

$$\iota: W_N \longrightarrow E(K_N) \otimes \mathbb{Q}$$

which is  $G$ -equivariant. By the fundamental theorem 5, this morphism is non-trivial if  $j \notin \frac{1}{2}\mathbb{Z}$ . Hence we can deduce the following corollary.

**Corollary 10.** *The self-points generate a group of rank at most  $\delta(N)$  inside  $E(K_N)$ . If  $W_N$  is an irreducible  $\mathbb{Q}[G_N]$ -module and the  $j$ -invariant is not in  $\frac{1}{2}\mathbb{Z}$ , then the self-points generate a group of rank  $\delta(N)$  and the Galois group acts like the Steinberg representation  $W_N$  on it.*

## 5 Self-points on semi-stable curves

We will suppose in this section that the curve  $E/\mathbb{Q}$  is semi-stable. In particular, the  $j$ -invariant can not belong to  $\frac{1}{2}\mathbb{Z}$  as all primes dividing  $N$  must appear in the denominator of  $j(E)$  and there is no curve of conductor 2. Hence the fundamental theorem 5 applies to  $E$ .

### 5.1 Some lemmata

In what follows we often have to split up the primes dividing  $N$  into two groups. Let  $s$ , standing for “surjective”, be the product of all primes  $p$  dividing  $N$  such that the representation  $\bar{\rho}_p$  is surjective. Let  $m$ , standing for “méchant”, be the product of the remaining primes dividing  $N$ . Note that there are not many choices for  $m$  as described in the following lemma.

**Lemma 11.** *We have  $m \in \{1, 2, 3, 4, 5, 6, 7, 10\}$ . If  $p \mid m$ , then  $G_p$  is contained in a Borel group of  $\mathrm{PGL}_2(\mathbb{F}_p)$  and hence is either a cyclic or a meta-cyclic<sup>1</sup> group.*

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<sup>1</sup>metacyclic : a semi-direct product of cyclic groups

*Proof.* Let  $p \mid m$ . By a theorem of Serre in [Ser96], the curve admits a  $p$ -isogeny  $E \longrightarrow E'$  and either  $E$  or  $E'$  must have a point of order  $p$  defined over  $\mathbb{Q}$ . Then by Mazur's theorem on torsion points on elliptic curves over  $\mathbb{Q}$  in [Maz78], we know now that  $p \leq 7$  and that  $m \leq 10$ .  $\square$

**Lemma 12.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve. Then the largest prime  $p$  dividing  $N$  is such that the representation  $\bar{\rho}_p$  is surjective. Unless  $N$  is 30 or 210, we have  $p - 1 > m$ .*

*Proof.* If  $N$  is divisible by a prime  $p \geq 13$ , then the largest prime  $p$  dividing  $N$  cannot divide  $m$  and satisfies  $p - 1 > m$  because  $m \leq 10$  by the previous lemma. Hence we are left with a finite list of possible  $N$  to check. This can be done easily; to illustrate it we show in the table 1 the list of curves of square-free conductors  $N$  whose prime divisors are among  $\{2, 3, 5, 7\}$ . For the full proof, we would need to list also conductors divisible by 11, but then the list will be far too long to be included here. But the only three exceptional isogeny classes can already be seen in the this table.

To each isogeny class, we give the number  $i$  of isogenous curves, the maximal degree  $d$  of an isogeny among them, the value of  $m$ , and the largest  $p \mid N$  such that  $\bar{\rho}_p$  is surjective. This ends the proof.  $\square$

$N$	14a	15a	21a	<b>30a</b>	35a	42a	70a	105a	<b>210a</b>	<b>210b</b>	210c	210d	210e
$i$	6	8	6	<b>8</b>	3	6	4	4	<b>8</b>	<b>8</b>	6	4	8
$d$	18	16	8	<b>12</b>	9	8	4	4	<b>12</b>	<b>12</b>	8	4	16
$m$	2	1	1	<b>6</b>	1	2	2	1	<b>6</b>	<b>6</b>	2	2	2
$p$	7	5	7	<b>5</b>	7	7	7	7	<b>7</b>	<b>7</b>	7	7	7

Table 1: Some of the evil curves to be treated separately in lemma 12

**Lemma 13.** *Let  $E/\mathbb{Q}$  be a semistable elliptic curve with  $6 \mid N$  and such that the representation  $\bar{\rho}_2$  is surjective onto  $\mathrm{PGL}_2(\mathbb{F}_2)$ . If there exists a prime  $p \mid N$  such that  $3 \nmid c_p$ , then  $K_2$  can not be contained in  $K_3$ .*

*Proof.* We wish to derive a contradiction from the assumption that  $K_2$  is contained in  $K_3$ . By assumption, the Galois group  $G_2 = \mathrm{Gal}(K_2/\mathbb{Q})$  is  $\mathrm{PGL}_2(\mathbb{F}_2)$ , which is isomorphic to the symmetric group on three letters  $\mathfrak{S}_3$ . The Galois group  $G_3$  is contained in  $\mathrm{PGL}_3(\mathbb{F}_3) = \mathfrak{S}_4$ . Therefore the Galois group  $\mathrm{Gal}(K_3/K_2)$  is contained in the Klein group  $V_4$  of  $\mathfrak{S}_4$ .

Suppose first that the reduction of  $E$  at  $p$  is split multiplicative. Let  $q_E$  be the Tate parameter of  $E$  over  $\mathbb{Q}_p$ . Choose a place  $v$  above  $p$  in  $K_2$  and a place  $w$  above  $v$  in  $K_3$ . Then the completion  $K_{3,w}$  is equal to  $\mathbb{Q}_p(\zeta_3, \sqrt[3]{q_E})$  and  $K_{2,v}$  is equal to  $\mathbb{Q}_p(\sqrt{q_E})$ . Since 3 does not divide  $c_p \geq 1$ , we know that  $q_E$  can not be a cube. Therefore the degree of  $K_{3,w}/K_{2,v}$  is divisible by 3. But this is impossible as the degree of  $K_3/K_2$  must be a power of 2.

If the reduction is non-split multiplicative at  $p$ , then one can do the same argument but transposed to the extension  $L$  of  $\mathbb{Q}_p$  over which  $E$  acquires split multiplicative reduction. As  $L/\mathbb{Q}_p$  is of degree 2, we still find that the degree of  $K_{3,w}/K_{2,v}$  must be a multiple of 3.  $\square$

**Lemma 14.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve. For the second and third point below, we assume that, if  $2 \mid N$  and  $3 \mid N$  then there is a prime  $p \mid N$  such that  $3 \nmid c_p$ .*

- i). Then  $G_s$  acts transitively on the set  $\mathbb{P}^1(\mathbb{Z}/s\mathbb{Z})$  of cyclic subgroup of order  $s$  in  $E$ .*
- ii). The Steinberg representation  $W_s$  is irreducible as a  $\mathbb{Q}[G_s]$ -module.*

iii). Let  $U_1 \oplus \cdots \oplus U_k$  be the decomposition of  $W_m$  into irreducible  $\mathbb{Q}[G_m]$ -modules then we have the decomposition of  $W_N$  into irreducible  $\mathbb{Q}[G_N]$ -modules as follows

$$W_n = \bigoplus_{i=1}^k (U_i \otimes W_s).$$

*Proof.* We will first prove by induction the statement in ii) with  $s$  replaced by any of its divisors  $r$ , assuming the additional hypothesis. If  $r = p$  is prime then  $G_p = \mathrm{PGL}_2(\mathbb{F}_p)$  and theorem 8 shows that  $W_p$  is irreducible as a  $\mathbb{Q}[G_p]$ -module. Let  $p$  be the largest prime factor of  $r$ . We may suppose that  $r$  is composite and so  $p > 2$ . Put  $t = \frac{r}{p} \geq 2$ . We assume that  $W_t$  is an irreducible  $\mathbb{Q}[G_t]$ -module. We wish to prove that  $W_r$  is an irreducible  $\mathbb{Q}[G_r]$ -module.

The Galois group  $H_p = \mathrm{Gal}(K_r/K_t)$  is isomorphic to the Galois group of the extension  $K_p/K_t \cap K_p$ . Hence  $H_p$  is a normal subgroup of  $G_p = \mathrm{PGL}_2(\mathbb{F}_p)$ . We use the fact that  $\mathrm{PSL}_2(\mathbb{F}_p)$  is simple for  $p > 3$ . So  $H_p$  is either all of  $G_p$ ,  $\mathrm{PSL}_2(\mathbb{F}_p)$ , the trivial group or, in the case  $p = 3$ , the Klein group  $V_4$  in  $\mathrm{PGL}_2(\mathbb{F}_3) = \mathfrak{S}_4$ . Treating the four cases separately, we will prove that  $W_p$  is an irreducible  $\mathbb{Q}[H_p]$ -module.

First, if  $H_p$  is all of  $G_p$  then  $W_p$  is irreducible as a  $\mathbb{Q}[H_p]$ -module by theorem 8. If  $H_p$  is equal to  $\mathrm{PSL}_2(\mathbb{F}_p)$ , then  $W_p$  could split at most into two subspace of equal dimension as  $\mathrm{PSL}_2(\mathbb{F}_p)$  has index 2 in  $\mathrm{PGL}_2(\mathbb{F}_p)$ . But the dimension of  $W_p$  is odd, unless  $p = 2$  which we excluded. Hence  $W_p$  is irreducible.

Next, we will exclude the case when  $H_p$  is trivial. If it were so, then there is a surjective map from  $G_t$  onto  $G_p = \mathrm{PGL}_2(\mathbb{F}_p)$ . The group  $G_t$  is contained in  $\mathrm{PGL}_2(\mathbb{Z}/t\mathbb{Z})$  whose order is

$$\prod_{\ell|t} \ell \cdot (\ell + 1) \cdot (\ell - 1).$$

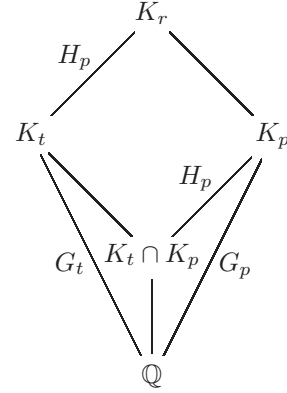
So the order of  $G_t$  can not be divisible by  $p$  as  $p$  is larger than any of the  $\ell$ , unless  $p = 3$  and  $t = 2$ . But it is also impossible that there is a surjective map from  $\mathrm{PGL}_2(\mathbb{F}_2)$  onto  $\mathrm{PGL}_2(\mathbb{F}_3)$ . So  $H_p$  is not trivial.

Finally, we treat the case when  $H_p$  is the Klein group in  $\mathrm{PGL}_2(\mathbb{F}_3) = \mathfrak{S}_4$ . Since  $p = 3$ , we have  $t = 2$ . As  $G_2 = \mathrm{PGL}_2(\mathbb{F}_2) = \mathfrak{S}_3$ , the only possibility for this case is when  $K_2$  is contained in  $K_3$ . But it was shown in lemma 13 that this is not possible under our additional hypothesis.

Let  $X$  be a sub- $\mathbb{Q}[G_r]$ -module of  $W_r = W_p \otimes W_t$ . As  $H_p$  acts trivially on  $W_t$ , we deduce that there is a subspace  $Z$  of  $W_t$  such that  $X = W_p \otimes Z$ . By induction hypothesis, we know that  $W_t$  is irreducible as a  $\mathbb{Q}[G_t]$ -module. Hence  $Z = W_t$  and we have shown that  $W_r$  is  $\mathbb{Q}[G_r]$ -irreducible.

Now we will prove i). If the additional hypothesis is verified then  $W_s$  is an irreducible  $\mathbb{Q}[G_s]$ -module by ii), hence  $G_s$  acts transitively on  $\mathbb{P}^1(\mathbb{Z}/s\mathbb{Z})$ . But the only place where we used the additional hypothesis in the proof of ii) is when we excluded the possibility that  $H_p$  is the Klein group in  $\mathrm{PGL}_2(\mathbb{F}_3)$ . But since the Klein group acts transitively on  $\mathbb{P}^1(\mathbb{F}_3)$ , we can prove directly the truth of i) in general.

Finally we must prove iii). We follow once again the same lines as the proof of ii). Of course, we may assume that  $m > 1$ . Let  $1 \leq i \leq k$  and let  $r \mid s$ . We will prove by induction that  $U_i \otimes W_r$  is an irreducible  $\mathbb{Q}[G_{rm}]$ -module. Let  $p$  be the largest prime dividing  $r$  and let  $t = \frac{r}{p}$ . By induction, we may suppose that  $U_i \otimes W_t$  is  $G_{tm}$ -irreducible. Let  $H_p = \mathrm{Gal}(K_{rm}/K_{tm}) \subset \mathrm{PGL}_2(\mathbb{F}_p)$ . As before, if



we can prove that  $W_p$  is an irreducible  $\mathbb{Q}[H_p]$ -module then we know that  $U_i \otimes W_r = U_i \otimes W_t \otimes W_p$  is  $G_{rm}$ -irreducible. Once again we must exclude only the possibility that  $H_p$  is trivial or equal to the Klein group  $V_4$  in  $\mathrm{PGL}_2(\mathbb{F}_3)$ .

Suppose first that  $p = 2$ . By maximality of  $p$ , we must have  $t = 1$ . If  $H_p$  is trivial, then there is a surjective map from  $G_m$  to  $\mathrm{PGL}_2(\mathbb{F}_2)$ . Running through all the possible odd  $m$  in lemma 11, we find that only  $m = 3$  can be possible. Moreover in this case we must have  $K_2 = K_3$ . Again we use the previous lemma 13 to exclude this possibility.

We treat now the case that  $p = 3$ . Then  $t = 1$  or  $t = 2$ . Suppose that  $H_p$  is trivial. There must be a surjective map from  $G_{tm}$  to  $\mathrm{PGL}_2(\mathbb{F}_3) \cong \mathfrak{S}_4$ . We can check that if  $t = 1$  then we must have  $m = 7$  as otherwise  $\#G_m$  will not be a multiple of 3. But  $\#G_7$  is not divisible by 24. If  $t = 2$ , then  $m$  can only be 5 or 7. Again it can not be 7. So we must have  $G_{tm} \subset \mathfrak{S}_3 \times (\mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z})$  and it is easy to check that the latter group does not have a subquotient isomorphic to  $\mathfrak{S}_4$ .

Continuing with the case  $p = 3$ , we suppose now that  $H_p$  is the Klein group in  $\mathrm{PGL}_2(\mathbb{F}_3)$ . This time we have a surjection of  $G_{tm}$  onto  $\mathfrak{S}_3$ . If  $t = 1$  then we can again check that there is no possibility for  $G_m$ . So suppose that  $t = 2$ . Then  $G_{tm}$  is contained in  $\mathfrak{S}_3 \times G_m$ . Then the only possibility for the surjection is that  $G_m$  lies in its kernel and  $\mathrm{PGL}_2(\mathbb{F}_2)$  maps isomorphically onto  $\mathfrak{S}_3$ . In this case we would have that  $K_2$  is contained in  $K_3$ . Once again the lemma 13 excludes this.

The very last step is to assume that  $p > 3$  and that  $H_p$  is trivial. Then there is a surjective map from  $G_{tm}$  to  $\mathrm{PGL}_2(\mathbb{F}_p)$ . By the maximality of  $p$ , we know that  $\#\mathrm{PGL}_2(\mathbb{Z}/t\mathbb{Z})$  is not divisible by  $p$ . Therefore  $p \neq m$  must divide  $\#G_m$ . Running through the list of possible groups in lemma 11, we find that this is not possible.  $\square$

## 5.2 Results for semi-stable curves

**Theorem 15.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve of conductor  $N$  with  $N \neq 30$  or 210. Then all the self-points  $P_C$  are of infinite order in  $E(\mathbb{Q}(C))$ .*

*Proof.* By lemma 12, we may choose a prime  $p$  dividing  $N$  such that  $\bar{\rho}_p$  is surjective and such that  $p - 1 > m$ .

Any cyclic subgroup  $C$  of order  $N$  may be written as  $C = A \oplus B$  with  $A$  of order  $m$  and  $B$  of order  $s = \frac{N}{m}$ . Now we use the previous lemma. For any fixed  $A$ , the group  $G_N$  acts transitively on the set  $\{A \oplus B\}_B$  as  $B$  runs over all cyclic subgroups of order  $s$  in  $E$ . Hence all self-points  $\{P_C\}$  with the  $m$ -part  $A$  fixed are conjugate in  $E(K_N)$ . In particular, if  $m = 1$  then all self-points are conjugate and the fundamental theorem 5 proves the theorem. So suppose now that  $m > 1$ .

Now we use the  $p$ -adic proof of the fundamental theorem 5. We identify the curve  $E/\bar{\mathbb{Q}}_p$  with the Tate curve  $\bar{\mathbb{Q}}_p^\times/q\mathbb{Z}$ . Fix a cyclic subgroup  $A$  of order  $m$  in  $E$  and let  $B = \mu[s]$  and  $C = A \oplus B$ . Since any self-point is conjugate to such a point, it is sufficient to prove that  $P_C$  is of infinite order.

For each  $\ell \mid m$ , let  $A_\ell$  be the  $\ell$ -torsion part of  $A$ . Write  $A''$  for the direct sum of all  $A_\ell$  such that  $A_\ell$  is generated by the  $\ell$ -th roots of unities  $\mu[\ell]$  in  $E(\bar{\mathbb{Q}}_p)$ . Write  $A'$  for the sum of all other  $A_\ell$ . So  $A = A' \oplus A''$ . Denote the order of  $A'$  by  $m'$  and, likewise, the order of  $A''$  by  $m''$ . Now we consider the isogeny  $\psi$  with kernel  $A'$

$$0 \longrightarrow A' \longrightarrow E \xrightarrow{\psi} E' \longrightarrow 0.$$

If  $\hat{A}'$  is the kernel of the dual isogeny  $\hat{\psi}: E' \longrightarrow E$ , then we may consider the point

$$x'_C = (E', \hat{A}' \oplus \psi(A'') \oplus \psi(B)) \in X_0(N)(\bar{\mathbb{Q}}_p)$$

which is nothing else but the Atkin-Lehner involution  $w_{m'}$  applied to the point  $x_C = (E, C)$ . We know already that  $\psi(B) = \mu[k]$  and  $\psi(A'') = \mu[m'']$ , but we also see that the group  $\hat{A}'$  is isomorphic

to  $\mu[m']$ . Hence the point  $x'_C$  lies now close to the cusp  $\infty$  and its Tate-parameter will be a certain  $m'$ -th root  $u$  of  $q_E$ . Since

$$|u|_p = \left(|q_E|_p\right)^{\frac{1}{m'}} = p^{-\frac{c_p}{m'}} < p^{-\frac{1}{p-1}}$$

as  $m' \leq m < p - 1$ , we can apply lemma 6 to show that  $\varphi_E(x'_C)$  is of infinite order. But we also know that the Atkin-Lehner involutions  $w_\ell$  act like multiplication by  $-a_\ell \in \{\pm 1\}$  for all primes  $\ell$  dividing  $N$  as shown in [AL70]. So  $P_C = \varphi_E(x_C) = \pm \varphi_E(x'_C) + T$  where  $T$  is a point of finite order, and hence  $P_C$  is of infinite order.  $\square$

As remarked earlier we have a  $G_N$ -equivariant map

$$\iota: W_N \longrightarrow E(K_N) \otimes \mathbb{Q}$$

The second point of lemma 14 shows the following

**Theorem 16.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve with  $N \neq 30, 210$  and suppose that all the representations  $\bar{\rho}_p$  for all primes  $p \mid N$  are surjective, then the group generated by the self-points is of rank  $N$  and the Galois groups acts like the irreducible Steinberg representation  $W_N$  on it.*

We prove now an extension of this theorem to the case when  $m \neq 1$ . In particular  $W_N$  might not be irreducible anymore. Unfortunately we can not prove that the rank is  $N$  in general for a semistable curve as we have to exclude the possibility that the curve has two distinct isogenies of the same degree defined over  $\mathbb{Q}$ . For, if the curve has two isogenies of degree  $p$  over  $\mathbb{Q}$ , then in the decomposition of  $W_N$  into irreducible  $\mathbb{Q}[G]$ -modules, there will be a representation that appears with multiplicity 2. The second hypothesis in the following theorem excludes this possibility, but it is also needed elsewhere to be able to apply the lemmata from the previous section.

**Theorem 17.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve. Suppose that  $N \neq 30$  or  $210$ . Suppose that for each prime  $p \mid N$  such that  $\bar{\rho}_p$  is not surjective, there is a prime  $\ell \mid N$  such that the Tamagawa number  $c_\ell$  is not divisible by  $p$ . Then the group generated by the self-points is of rank  $N$ .*

*Proof.* As a consequence of the second hypothesis, we know that for each  $p \mid N$  there is an element of order  $p$  in  $G_p$ . See the appendix of [Ser68]. Since either  $G_p$  is all of  $\mathrm{PGL}_2(\mathbb{F}_p)$  or it is contained in the Borel subgroup, we conclude that, either  $G_p$  acts transitively on  $\mathbb{P}^1(\mathbb{F}_p)$  or it has one single fixed point, which we will call  $C_p \in \mathbb{P}^1(\mathbb{F}_p)$ .

Let  $p \mid m$ . Then by proposition 9, the  $\mathbb{Q}[G_p]$ -module  $W_p$  decomposes as the sum of the trivial part  $W'_1$  and an irreducible part  $W'_p$  of dimension  $p - 1$ . If  $m$  is not prime it can only be either  $2 \cdot 3$  or  $2 \cdot 5$  by Mazur's theorem. If  $m = 6$  then  $W_6$  decomposes as  $W'_1 \oplus W'_2 \oplus W'_3 \oplus W'_6$  where  $W'_6 = W'_2 \otimes W'_3$ . To see that the latter is also irreducible one needs only to note that the dimension of  $W'_2$  is 1. In the same way, for  $m = 10$ , we have an irreducible component  $W'_{10}$ .

Using lemma 14, we know now that  $W_N$  decomposes as

$$W_N = \bigoplus_{d \mid m} (W'_d \otimes W_s)$$

into irreducible  $\mathbb{Q}[G_N]$ -modules. We must now prove that none of the components belongs to the kernel of the map  $\iota: W_N \longrightarrow E(K) \otimes \mathbb{Q}$ .

First recall the definition of  $W'_d \otimes W_s$ . It contains all elements

$$\sum_{C \in \mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})} a_C e_C \in \bigoplus_{C \in \mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})} \mathbb{Q} e_C$$

subject to the following three conditions.

- For all  $N \neq b \mid N$  and all cyclic subgroups  $B$  of order  $b$ , the sum  $\sum_{C \supset B} a_C$  vanishes.
- For all primes  $p \mid d$  and all  $C \supset C_p$ , we have  $a_C = 0$ .
- For all primes  $p \mid \frac{m}{d}$  and all  $C \not\supset C_p$ , we have  $a_C = 0$ .

Let  $d \mid m$ . Define  $A$  to be the direct sum of  $C_p$  for all  $p \mid \frac{m}{d}$ . So  $A$  is a cyclic group of order  $\frac{m}{d}$ . The map  $\iota$  on  $W'_d \otimes W_s$  is induced from the map

$$\iota_d: \bigoplus_D \mathbb{Q} e_{A \oplus D} \longrightarrow E(K) \otimes \mathbb{Q}$$

where  $D$  runs through all the cyclic subgroups  $D$  in  $E$  of order  $d \cdot s$  such that  $D$  does not contain any of the  $C_p$  with  $p \mid d$ . As this map sends  $e_{A \oplus D}$  to the self-point  $P_{A \oplus D}$ , it follows from theorem 15 that the map  $\iota_d$  is not trivial.

Now we use the relations in proposition 7 to see that, for all  $b \mid ds$  and all cyclic groups  $B$  of order  $b$ , not containing any of the  $C_p$ , we have

$$\sum_{D \supset B} e_{A \oplus D} \in \ker \iota_d.$$

Hence the only irreducible part of the domain of  $\iota_d$  which does not lie in the kernel is  $W'_d \otimes W_s$ . Hence  $\iota_d$  induces an injection  $W'_d \otimes W_s \xrightarrow{\sim} E(K) \otimes \mathbb{Q}$ .  $\square$

The hypothesis in this last theorem is fulfilled for the very large part of semi-stable curves. We could not find an strong Weil curve with  $N < 10'000$  for which the theorem would not apply. The first curve which does not satisfy the hypothesis with  $p = 3$  is 651e2 as it has  $G_3 = \mathbb{Z}/2\mathbb{Z}$  and the Tamagawa numbers are  $c_3 = 3$ ,  $c_7 = 3$ , and  $c_{31} = 3$ . For  $p = 2$ , the examples that do not satisfy the hypothesis are exactly those which have all 2-torsion points defined over  $\mathbb{Q}$ , like for instance 30a2.

## 6 Examples

The following table 2 shows some computations done for the optimal curves (with one exception) of smallest conductor. We do not give the complete explanation of how one obtains these results. For more detail, we refer the reader to [DW08] and [Wut07]. But we will consider two curves in more detail later.

The curves in table 2 are labelled as in Cremona's tables [Cre97]. The first line shows the structure of the torsion group over  $\mathbb{Q}$ , e.g.  $2 \cdot 4$  means that  $E(\mathbb{Q})_{\text{tors}} = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ . The next line indicates the largest degree of a cyclic isogeny defined over  $\mathbb{Q}$  on  $E$ . The last two lines are those containing information about self-points, first we counted the number of irreducible  $\mathbb{Q}[G_N]$ -modules in  $W_N$  and finally, we computed the rank of the group generated by self-points in  $E(K_N)$ . The two values in bold face are lower than the usual conjectured rank, which is no surprise since these two curves have complex multiplication. When there is no  $*$  sign next to the rank, the value is proven using the results in the previous section. The sign  $*$  indicates that we have only empirically computed the rank using the following method.

Using high precision computation we may find a very good approximation to the values of

$$z_C = \int_{x_C}^{\infty} f_E(q) \frac{dq}{q}$$

as elements of  $\mathbb{C}$ , where  $C$  runs over all cyclic subgroups of order  $N$  in  $E$ . Hence  $z_C$  maps to  $P_C$  under  $\mathbb{C} \longrightarrow \mathbb{C}/\Lambda_E \longrightarrow E(\mathbb{C})$  where  $\Lambda_E = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$  is the period lattice of  $E$ . Let  $t$  be the

$N$	11a1	14a1	15a1	17a1	19a1	20a1	21a1	24a1	26a1
tors.	5	2 · 3	2 · 4	4	3	2 · 3	2 · 4	2 · 4	3
isog.	25	18	16	4	9	6	8	8	9
$W_N$	1	2	1	1	1	2	1	4	1
rank	11	14	15	17	19	15	21	18*	26

$N$	26b1	27a2	30a1	32a1	33a1	34a1	35a1	37a1	38a1
tors.	7	3	2 · 3	4	2 · 2	2 · 3	3	1	3
isog.	7	27	12	4	4	6	9	1	9
$W_N$	1	5	4	?	1	2	1	1	1
rank	26	<b>20</b>	30*	<b>12*</b>	33	34	35	37	38

Table 2: The ranks of the group generated by self-points for some curves

order of the torsion subgroup of  $E$  over  $\mathbb{Q}$ . Consider the abelian group spanned by  $\frac{1}{t}\omega_1, \frac{1}{t}\omega_2$  and all the  $z_C$  in a complex vector space of dimension  $2 + \#\mathbb{P}^1(\mathbb{Z}/N\mathbb{Z})$ . Using the LLL-algorithm, we find small vectors in this lattice. These are likely to give relations

$$b_1\omega_1 + b_2\omega_2 + \sum_C a_C z_C = 0$$

with  $b_1, b_2$ , and  $a_C$  all integers. This yields a probable relation among the self-points. Unfortunately we might not catch those relations involving torsion points on  $E$  not defined over  $\mathbb{Q}$ . So to increase the likelihood of finding all relations we multiply  $t$  by a product of small primes. For all cases for which we were able to determine the rank, this empirical computation gave the same answer. In principle these computations could be made rigorous by considering exact estimates for the error terms.

## 6.1 Conductor 24

We present here an example of a curve where we are unable to determine the rank of the group generated by self-points. The Mordell-Weil group of the curve 24a1, given by the equation

$$E: y^2 = x^3 - x^2 - 4x + 4$$

is  $E(\mathbb{Q}) = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ . The situation is rather complicated and we do not explain all computations here. The field  $K_4$  turns out to be  $\mathbb{Q}(i, \sqrt{3})$ , which happens to be equal to  $\mathbb{Q}(E[4])$ . There is are two non-trivial Galois-orbits of 4-torsion points, one over  $\mathbb{Q}(\sqrt{3})$  and the other over  $\mathbb{Q}(\sqrt{-3})$ . Hence the representation  $V_4$  splits as

$$V_4 = \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1} \oplus \mathbb{1}(\sqrt{3}) \oplus \mathbb{1}(\sqrt{-3}),$$

where  $\mathbb{1}(\sqrt{d})$  is the one-dimensional representation corresponding to the Dirichlet character associated to  $\mathbb{Q}(\sqrt{d})$ . Now, the field  $K_8$  can be computed, too. It turns out that it coincides with  $\mathbb{Q}(E[8])$  in this case. It is a degree 16 extension of discriminant  $2^{36} \cdot 3^{12}$ . It contains the extension  $\mathbb{Q}(i, \sqrt{2}, \sqrt{3})$ . The sub-extension  $K_4$  is fixed by the centre of the Galois group  $G_8$ . The group  $G_8$  admits two irreducible 2-dimensional representations, one of which we call  $Z_2$ . Then the representation  $V_8$  splits in many components and we find that

$$W_8 = \mathbb{1}(\sqrt{2}) \oplus \mathbb{1}(\sqrt{-2}) \oplus Z_2 \oplus Z_2.$$

The first two factors correspond to two couples of lines in  $E[8]$  defined over  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{-2})$  respectively. The other lines are defined over fields of degree 4.

Using that the field  $K_3$  intersects  $K_8$  in  $\mathbb{Q}(\sqrt{-3})$ , we find that  $W_{24}$  splits into 4 irreducible factors  $W_{24} = W_3(\sqrt{2}) \oplus W_3(\sqrt{-2}) \oplus Z_6 \oplus Z_6$ . Here  $Z_6 = W_3 \otimes Z_2$  is an irreducible representation of dimension 6. In particular, this representation appears with multiplicity 2. So the usual proof that there are no further relations among self-points will not work.

The cyclic subgroup of order 8 in  $E$  which corresponds to  $\mu[8]$  over  $\mathbb{Q}_3$  contains the rational 4-torsion point. So one of the two factors of dimension 3 in  $W_{24}$  certainly appears in  $E(K_N) \otimes \mathbb{Q}$ . But we are unable to show that any other self-points are of infinite order with the means of theorem 15.

So we can only conclude that the rank  $r$  of the group generated by the self-points satisfies  $3 \leq r \leq 18$ . But we strongly believe that  $r = 18$  as suggested by the empirical computations.

## 6.2 Conductor 27

There are four curves of conductor 27 forming the following isogeny graph

$$27a2 \longleftarrow 27a1 \longleftarrow 27a3 \longleftarrow 27a4$$

The isogenies  $\longleftarrow$  are all of degree 3 and, in the sense that they are drawn here, the kernels are  $\mathbb{Z}/3\mathbb{Z}$  while the dual isogenies have kernel  $\mu[3]$ . Over the field  $F = \mathbb{Q}(\sqrt{-3}) = \mathbb{Q}(\zeta)$  with  $\zeta$  a third root of unity, the curves 27a1 and 27a3 become isomorphic, the same holds for the curves 27a2 and 27a4. The first couple has complex multiplication by the maximal order  $\mathbb{Z}[\zeta]$ , while the second couple has cm by  $\mathbb{Z}[3\zeta]$ .

Let  $E$  be the curve 27a2 defined by

$$y^2 + y = x^3 - 270 \cdot x - 1708.$$

*Theorem 18. The self-points on the curve 27a2 generate a group of rank 20 in  $E(K_{27})$ . There are exactly two linearly independent self-points defined over  $K_3 = \mathbb{Q}(\sqrt[3]{-3})$  and they generate a subgroup of finite index in  $E(K_3)$ .*

The proof is contained in the following explanations. But we do omit certain computations from the presentation here.

The field  $K_3$  is equal to  $\mathbb{Q}(\sqrt[3]{-3})$  and the Galois group  $G_3$  is a dihedral group of order 6. In fact some 3-torsion points are defined over  $F = \mathbb{Q}(\sqrt{-3})$  and some others are over  $\mathbb{Q}(\sqrt[3]{-3})$  and we have  $V_3 = \mathbb{1} \oplus \mathbb{1}(\sqrt{-3}) \oplus Z_2$  where  $Z_2$  is the unique irreducible 2-dimensional representation of  $G_3$ .

In order to determine the structure of  $V_{27}$ , we need to use the theory of complex multiplication. Let  $H_{27}$  be the subgroup  $\text{Gal}(K_{27}/F)$  inside  $G_{27}$ . We know that the representation  $\bar{\rho}_{27,F}$  now maps to

$$\bar{\rho}_{27,F}: H_{27} \xrightarrow{\sim} \frac{\text{Aut}_{\mathcal{O}/27\mathcal{O}}(E[27])}{(\mathbb{Z}/27\mathbb{Z})^\times} = \frac{(\mathcal{O}/27\mathcal{O})^\times}{(\mathbb{Z}/27\mathbb{Z})^\times} = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \in \text{PGL}_2(\mathbb{Z}/27\mathbb{Z}) \right\} \cong \mathbb{Z}/27\mathbb{Z}$$

where  $\mathcal{O} = \mathbb{Z}[3\zeta]$  is the ring of endomorphisms of  $E/F$ . It is possible to verify that  $H_{27}$  is equal to this group and hence  $G_{27}$  is a dihedral group of order 54 generated by  $h = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  and  $s = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . The computation of  $V_{27}$  is now easy and one finds

$$W_{27} = \mathbb{1} \oplus \mathbb{1}(\sqrt{-3}) \oplus Z_2 \oplus Z_2 \oplus Z_{18}.$$

Here  $Z_2$  is the unique 2-dimensional irreducible  $\mathbb{Q}[G_{27}]$ -module (the action of  $h$  has trace  $-1$ ) and  $Z_{18}$  is the unique irreducible 18-dimensional  $\mathbb{Q}[G_{27}]$ -module (it splits over  $\mathbb{C}$  into six 2-dimensional



representations). As the curve 27a2 is not the strong Weil curve in the isogeny class, the modular parametrisation  $\varphi_E$  from the elliptic curve  $X_0(27)$  to  $E$  is not an isomorphism but an isogeny of degree 3. The curve  $X_0(27)$  has six cusps represented by the classes  $\{\infty, 0, \frac{1}{3}, \frac{2}{3}, \frac{2}{9}, \frac{4}{9}\}$ . The group  $X_0(27)(\mathbb{Q})$  contains the cusps  $\infty$  and  $0$  and the self-point obtained from the isogeny  $27a2 \rightarrow 27a4$ . They form exactly the kernel of  $\varphi_E$ . The other cusps are mapped to the 3-torsion points defined over  $F$  on  $E$ . In fact  $E(F) = \mathbb{Z}/3\mathbb{Z}$  and  $E(K_3)_{\text{tors}} = \mathbb{Z}/3\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z}$ . A two-descent over  $K_3$  shows that the 2-Selmer group of  $E/K_3$  has two copies of  $\mathbb{Z}/2\mathbb{Z}$  in it.

The trivial factor in  $W_{27}$  corresponds to the self-point obtained from the 27-isogeny defined over  $\mathbb{Q}$  on 27a2. We know that it is the point  $O$  in  $E(\mathbb{Q})$ . The factor  $\mathbb{1}(\sqrt{-3})$  in  $W_{27}$  must also belong to the kernel of  $\iota: W_{27} \rightarrow E(K_{27}) \otimes \mathbb{Q}$  as the Mordell-Weil group  $E(F)$  is of rank 0. From the factors  $Z_2$  at least one of them must be in the kernel as the rank of  $E(K_3)$  is bounded by 2 from above. It is not hard to check by looking at traces of Frobenii that the torsion subgroup of  $E(K_{27})$  only contains nine 3-torsion points. Since the degree of  $\varphi_E$  is 3, there are at most 27 points in  $X_0(27)(K_{27})$  which map to torsion points in  $E(K_{27})$  under  $\varphi_E$ . As there are 36 points  $x_C$ , we conclude that at least 9 self-points are of infinite order. By looking at the decomposition of  $W_{27}$  we see that  $Z_{18}$  can not belong to the kernel of  $\iota$ .

Finally we have to show that there is a self-point of infinite order in  $E(K_3)$ . This will show that the second copy of  $Z_2$  does not belong to the kernel of  $\iota$ . This can be done numerically. The point  $\tau_C = \frac{1}{6} \cdot (-1 + \sqrt{-3})$  in the upper half plane corresponds to a point  $x_C$  in  $X_0(27)$ . We find that

$$-\frac{1}{8}(36 \cdot s^5 + 15 \cdot s^4 - 45 \cdot s^3 - 18 \cdot s^2 + 69 \cdot s + 99) \quad \text{with } s = \sqrt[3]{-3}$$

is the  $x$ -coordinate of the self-point  $P_C$  in  $E(K_3)$ . Its canonical height is 1.5191 and hence  $P_C$  is of infinite order. This point  $P_C$  and its conjugates over  $F$  will generate a group of rank 2 in  $E(K_3)$ . Since we have computed the 2-Selmer group earlier, we conclude that the rank of  $E(K_3)$  is as claimed equal to 2.

It seems plausible that this point  $P_C$  can also be constructed as an ‘‘exotic Heegner point’’ using the construction of Bertolini, Darmon and Prasanna in [BDP07]. But the authors exclude there explicitly the case of conductor  $N = 27$ .

## 7 Higher self-points

In this section, we investigate on three particular cases of higher self-points. Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . For any cyclic subgroup  $D$  in  $E$  we may consider the isogenous curve  $E/D$  with a suitable choice of a cyclic subgroup of order  $N$  in it. In the first case, we use subgroups  $D$  defined over  $\mathbb{Q}$  to construct new points and for the two other cases we use subgroups  $D$  of prime-power order  $p^n$ , first when  $p$  divides the conductor and then when it does not divide the conductor.

### 7.1 Self-points via rational isogenies

Let  $D$  be a cyclic subgroup in  $E$  defined over  $\mathbb{Q}$ . Suppose for simplicity that the order of  $D$  is prime to  $N$ . Then for any cyclic subgroup  $C$  of order  $N$  on  $E$ ,

$$Q_D = \varphi_E(E/D, (C + D)/D)$$

is a higher self-point defined over the same field as  $P_C$ . It would be interesting to know in general when  $P_C$  and  $Q_D$  are linearly independent. For instance this can be shown on the curves of conductor 11 : There are 3 curves in the isogeny class and hence we find, for any fixed  $C$ , one

self-point and two higher self-points on  $E$  defined over  $\mathbb{Q}(C)$ . Using the canonical height pairing, we can prove the linear independence of these three points computed explicitly on  $E$ . So the rank of  $E(\mathbb{Q}(C))$  will have to be at least 3. See [DW08] and [Wut07] for more details on this example.

In some cases the method of the proof of theorem 15 can be used to show that  $Q_D$  is also of infinite order. But the methods of the proof of theorem 17 will not be sufficient to prove the independence of  $P_C$  and  $Q_D$ .

## 7.2 The multiplicative case

Let now  $p$  be a prime dividing  $N$  exactly once, i.e.  $E$  has multiplicative reduction at  $p$ . Let  $M$  be such that  $N = p \cdot M$ . As a base-field we will consider here the number field  $F = K_M$ , the smallest field such that its absolute Galois group acts as scalars on  $E[M]$ . In the particular situation when  $N = p$  is prime then  $F = \mathbb{Q}$ ; the same is true for instance if  $E$  is a curve of conductor 14 and  $p = 7$ .

For any  $n \geq 0$ , we define now  $F_n$  to be the field  $K_{p^n N}$  and  $H_n$  to be the Galois group of  $F_n/F$ . Via the Galois representation

$$\rho_{F,p}: \text{Gal}(\bar{F}/F) \longrightarrow \text{Aut}(T_p E) \cong \text{GL}_2(\mathbb{Z}_p) \longrightarrow \text{PGL}_2(\mathbb{Z}_p)$$

the group  $H_n$  identifies with a subgroup of  $\text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

Fix a subgroup  $B$  of order  $M$  in  $E$ . Let  $n \geq 0$  and let  $D$  be a cyclic subgroup of order  $p^{n+1}$  in  $E$ . Let  $A = D[p]$  and  $C = A \oplus B$ , which is a cyclic subgroup of order  $N$ . Write  $\psi$  for the isogeny  $E \longrightarrow E'$  of kernel  $D$  and  $\hat{\psi}$  for its dual. Define

$$C' = \ker(\hat{\psi})[p] \oplus \psi(B),$$

which is a cyclic subgroup of  $E'$  of order  $M \cdot p = N$ . The image of the point  $y_D = (E', C') \in Y_0(N)$  through the map  $\varphi_E$  will be denoted by  $Q_D$ . It is by definition a higher self-point. We will say that “ $Q_D$  lies over  $P_C$ ” or “over  $B$ ”.

In particular, if  $n = 0$ , then  $D = A$  is a cyclic subgroup of order  $p$ . From the construction above, we see that the point  $y_D$  is nothing but  $w_p(x_C)$  where  $w_p$  is the Atkin-Lehner involution on  $X_0(N)$ . Hence we have that  $Q_D = -a_p \cdot P_C + T$  for some 2-torsion point  $T$  defined over  $\mathbb{Q}$ . Here  $a_p = \pm 1$  is, as before, the Hecke eigenvalue of the newform  $f_E$  attached to the isogeny class of  $E$ .

Let  $D$  be a cyclic subgroup of  $E$  of order  $p^{n+1}$ . By the definition of the Hecke operator  $T_p$  on  $J_0(N)$ , we have that

$$T_p((y_D) - (\infty)) = \sum_{D' \supset D} ((y_{D'}) - (\infty))$$

where the sum runs over all cyclic subgroups  $D'$  in  $E$  of order  $p^{n+2}$  containing  $D$ . This gives us the relation

$$a_p \cdot Q_D = \sum_{D' \supset D} Q_{D'}. \quad (2)$$

Hence by induction, we know that  $Q_D$  is of infinite order if the self-point  $P_C$  is.

**Lemma 19.** *Let  $B$  be a fixed subgroup of order  $M$  in  $E$  and let  $n \geq 0$ . Then  $\sum_D Q_D$  is a torsion point in  $E(F)$ , where the sum is over all cyclic subgroups  $D$  of  $E$  of order  $p^{n+1}$ .*

*Proof.* Suppose first that  $n = 0$ . Then we sum over all cyclic subgroups  $D = A$  of order  $p$  which gives

$$\sum_D Q_D = \sum_{C \supset B} (-a_p P_C + T) = (p+1) \cdot T - a_p \sum_{C \supset B} P_C.$$

The first term on the right hand side is clearly torsion and the second term contains exactly one of the relations from proposition 7. Now by induction, we assume that the statement holds for  $n$ . But then  $\sum_{D'} Q_{D'}$ , with the sum running over all cyclic subgroups  $D'$  of order  $p^{n+2}$ , is, by (2), equal to  $a_p \cdot \sum_D Q_D$ , with the sum now running over cyclic subgroups of order  $p^{n+1}$ .  $\square$

The  $\mathbb{Q}$ -vector space with basis  $\{e_D\}_D$  in bijection with  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$  is a natural  $\mathbb{Q}[H_n]$ -module. Define

$$V'_{(n)} = \frac{\bigoplus_A \mathbb{Q} e_D}{\mathbb{Q}(\sum_D e_D)}$$

which is a vector space of dimension  $p^{n+1} + p^n - 1$ .

Fix a cyclic subgroup  $B$  of order  $M$  in  $E$ . By the previous lemma, there is a morphism of  $\mathbb{Q}[H_n]$ -modules given by

$$\begin{array}{ccc} \iota_n = \iota_{B,n}: & V'_{(n)} & \longrightarrow E(F_n) \otimes \mathbb{Q} \\ & e_D & \longmapsto Q_D \end{array}$$

We assume that the representation  $\rho_{F,p}$  is surjective onto  $\mathrm{PGL}_2(\mathbb{Z}_p)$ . So  $H_n \cong \mathrm{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$  and the  $\mathbb{Q}[H_n]$ -module  $V'_{(n)}$  is the Steinberg representation, which was denoted by  $V_{p^n}/W_1$  earlier in section 4.

**Theorem 20.** *Suppose  $E/\mathbb{Q}$  is an elliptic curve and  $p$  a prime of multiplicative reduction. Suppose that  $\rho_{F,p}$  is surjective and that there is a self-point  $P_C$  of infinite order in  $E(F_0)$ . Then for all  $n \geq 0$  and all cyclic subgroups  $D$  of order  $p^{n+1}$  with  $D[p] \subset C$  the point  $Q_D$  is of infinite order. They generate in  $E(F_n) \otimes \mathbb{Q}$  a  $\mathbb{Q}[H_n]$ -module isomorphic to the representation  $V'_{(n)}$  of dimension  $p^{n+1} + p^n - 1$ .*

As a special case, we recover Theorem 8 in [DW08] in the case when  $N = p$  is prime and  $F = \mathbb{Q}$ .

*Proof.* We only have to show that  $\iota_n$  is injective. Suppose  $n \geq 0$  is the smallest value such that  $\iota_n$  is not injective. Since  $V'_{(n)} = W_{p^{n+1}} \oplus V'_{(n-1)}$  if  $n > 0$  and  $V'_{(0)} = W_p$ , this means that  $\iota_n$  induced on  $W_{p^{n+1}}$  is not injective. Since this is an irreducible  $\mathbb{Q}[H_n]$ -module when  $\rho_{F,p}$  is surjective, this means that  $\iota_n$  is trivial on  $W_{p^{n+1}}$ . This is impossible since we have shown that all  $Q_D$  above  $P_C$  are of infinite order.  $\square$

### 7.3 The good case

Let  $p$  be a prime not dividing  $N$ , i.e. of good reduction for  $E$ . Let  $F$  be a number field such that  $E(F)$  contains a self-point  $P_C$  of infinite order. We fix the corresponding cyclic subgroup  $C$  of order  $N$  in  $E$ .

For any  $n \geq 0$ , let  $F_n$  be the smallest Galois extension of  $F$  such that the absolute Galois group  $\mathrm{Gal}(\bar{F}/F)$  acts via scalars on  $E[p^{n+1}]$ , hence  $F_n = F \cdot K_{p^{n+1}}$ . Define  $H_n$  to be the Galois group  $\mathrm{Gal}(F_n/F)$ , which will be considered as a subgroup of  $\mathrm{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

For any  $n \geq 0$  and any cyclic subgroup  $D$  of order  $p^{n+1}$  we construct a higher self-point  $Q_D$  in  $E(F_n)$  as follows. Let  $\psi: E \rightarrow E/D$  be the isogeny associated to  $D$ . Put  $y_D = (E/D, \psi(C)) \in Y_0(N)$  and  $Q_D = \varphi_E(y_D)$ . This is a higher self-point ‘‘above  $P_C$ ’’.

Again we may use the definition of the Hecke operator  $T_p$  to prove that, for all  $n \geq 0$  and  $D$  as before

$$a_p \cdot Q_D = \sum_{D' \supset D} Q_{D'}, \quad (3)$$

where the sum runs over all cyclic subgroups  $D'$  of order  $p^{n+2}$  in  $E$  containing  $D$ . Furthermore we have

$$a_p \cdot P_C = \sum_D Q_D \quad (4)$$

with the sum running over all cyclic subgroups  $D$  of order  $p$  in  $E$ .

Let  $V_{(n)} = V_{p^{n+1}}$  be the  $\mathbb{Q}[H_n]$ -module whose basis  $\{e_D\}_D$  as a vector space over  $\mathbb{Q}$  is in bijection with  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . We have a  $H_n$ -morphism defined by

$$\begin{array}{ccc} \iota_n = \iota_{C,n}: & V_{(n)} & \longrightarrow E(F_n) \otimes \mathbb{Q} \\ & e_D & \longmapsto Q_D \end{array}$$

**Theorem 21.** *Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . Let  $p$  be a prime of good and ordinary reduction for  $E$ . Let  $F$  be a number field such that  $E(F)$  contains a self-point  $P_C$  of infinite order. Suppose that the representation  $\rho_{F,p}$  is surjective. Then all higher self-points  $Q_D$  constructed above are of infinite order and they generate a group of rank  $p^n \cdot (p+1)$ .*

*Proof.* By induction on  $n$  using the formulae (3) and (4) and the hypothesis that  $p$  is ordinary to guarantee that  $a_p \neq 0$ .  $\square$

The above easy proof of the theorem breaks down if  $E$  has supersingular reduction at  $p$ , for  $a_p$  is then almost always equal to 0.

**Theorem 22.** *Let  $E/\mathbb{Q}$  be a semi-stable elliptic curve of conductor  $N \neq 30$  or 210. Let  $p > N$  be a supersingular prime for  $E$ . Let  $F = K_N$ . Suppose that the representation  $\rho_{F,p}$  is surjective. Then all higher self-points  $Q_D$  above a given self-point  $P_C$  are of infinite order and they generate a group of rank  $p^n \cdot (p+1)$ .*

*Proof.* We follow the proof of theorem 15. Let  $\ell > 2$  be a prime dividing  $N$ . We proved that the self-points are of infinite order by showing that when a certain Atkin-Lehner involution is applied to one of the conjugates of  $x_C$  one obtains a point  $\ell$ -adically close to the cusp  $\infty$  on  $X_0(N)(\bar{\mathbb{Q}}_\ell)$ .

Let  $Q_D$  be a higher self-point above the self-point  $P_C$ . Since  $\rho_{F,p}$  is surjective, the point  $Q_D$  will be conjugate over  $K_N$  to a all other higher self-point above the same self-point. Therefore without loss of generality we may assume that the cyclic subgroup  $D$  on  $E$  corresponds to  $\mu[p^{n+1}]$  in  $E(\bar{\mathbb{Q}}_\ell)$ . Then the point  $y_D = (E', C')$  is represented by a Tate curve over  $\bar{\mathbb{Q}}_\ell$  with parameter  $q_{E'}$  equal to the  $p^{n+1}$ -st power of  $q_E$ .

Let  $r$  be a divisor of  $N$  such that  $w_r(y_D)$  is the couple  $(E'', \mu[N])$  with  $E''$  the Tate curve with parameter  $q_{E'}^{1/r}$ . Using the fact that  $p > N \geq r$ , we find that

$$\left| q_{E'}^{1/r} \right|_\ell = |q_E|_\ell^{\frac{p^{n+1}}{r}} \leq \ell^{-\frac{p}{r} \cdot p^n} \leq \ell^{-1} < \ell^{-\frac{1}{r-1}}$$

and hence, the lemma 6 shows that  $\varphi_E(E'', \mu[N])$  is of infinite order. Then as usual  $Q_D$  differs from  $\pm \varphi_E(w_r(y_D))$  by a torsion point. So  $Q_D$  is of infinite order.

Since the representation  $W_{p^n}$  is irreducible for  $\mathrm{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ , we can show by induction that the rank of the group generated by higher self-points is  $\dim(V_{(n)}) = p^n \cdot (p+1)$ .  $\square$

Putting the previous two results together, we are able to show a corollary which hold for all but finitely many primes  $p$ .

Corollary 23. Let  $E/\mathbb{Q}$  be a semi-stable curve of conductor  $N \neq 30$ , or 210. Suppose that  $p$  is a prime such that  $p > N$ , (so it is of good reduction), and such that  $\bar{\rho}_p: \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \longrightarrow \text{PGL}_2(\mathbb{F}_p)$  is surjective. Let  $s$  be the rank of the group generated by self-points in  $E(K_N)$ . Then the higher self-points in  $E(K_{p^{n+1}})$  generate a group of rank at least  $s \cdot (p+1) \cdot p^n$ .

*Proof.* Take  $F = K_N$  in the previous theorems. We only have to show the condition that  $\rho_{F,p}$  is surjective. Note that it is enough to show that  $\bar{\rho}_{F,p}: \text{Gal}(\bar{F}/F) \longrightarrow \text{PGL}_2(\mathbb{F}_p)$  has all of  $\text{PSL}_2(\mathbb{F}_p)$  in its image, since the representation  $V_{p^n}$  will still have the same decomposition.

Let  $H_p$  be the group  $\text{Gal}(K_{Np}/K_N)$ , i.e. the image of  $\bar{\rho}_{F,p}$ . It is equal to the normal subgroup in  $\text{Gal}(K_p/\mathbb{Q}) \cong \text{PGL}_2(\mathbb{F}_p)$  corresponding to the subextension  $K_p/K_N \cap K_p$ . Since  $p > 11$  when  $p > N$ , we have that  $\text{PGL}_2(\mathbb{F}_p)$  has only three normal subgroups, namely itself,  $\text{PSL}_2(\mathbb{F}_p)$  and  $\{1\}$ . By the remark above, we only have to exclude that  $H_p$  is not trivial.

If  $H_p$  was trivial, then  $p$ , dividing the order of  $\text{PGL}_2(\mathbb{F}_p)$ , would have to divide the order of  $G_N$ , which is a subgroup of  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$ . But when  $p > N$ , then  $p$  cannot divide the order of  $\text{PGL}_2(\mathbb{Z}/N\mathbb{Z})$ , except when  $p = 3$  and  $N = 2$ , which cannot occur as a conductor.  $\square$

## 8 Derivatives

Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . Let  $p$  be an *odd* prime of *ordinary*, either good or multiplicative, reduction. In order to treat the cases of higher self-points discussed in the sections 7.2 and 7.3 simultaneously, we choose now a base field  $F$ . If  $E$  has good ordinary reduction at  $p$ , then  $F$  is any number field such that  $E(F)$  contains a self-point  $P_C$  of infinite order. If  $p$  divides  $N$ , then  $F$  is a number field such that the absolute Galois group of  $F$  acts by scalars on  $E[\frac{N}{p}]$ .

We will suppose from now on that

$$\rho_{F,p}: \text{Gal}(\bar{F}/F) \longrightarrow \text{PGL}_2(\mathbb{Z}_p)$$

is surjective.

We let  $F_n$  be the smallest extension of  $F$  such that  $H_n = \text{Gal}(F_n/F)$  acts by scalars on  $E[p^{n+1}]$ . By assumption the map  $\rho_{F,p}$  induces an isomorphism from  $H_n$  to  $\text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . Also, this implies that  $E(F_n)$  has no  $p$ -torsion elements.

Let  $\mathcal{O}$  be the ring of integers in the unramified quadratic extension of  $\mathbb{Q}_p$ . Choosing a basis of  $\mathcal{O}$  over  $\mathbb{Z}_p$ , we get a homomorphism

$$\Psi: \mathcal{O}^\times \longrightarrow \text{GL}_2(\mathbb{Z}_p) \longrightarrow \text{PGL}_2(\mathbb{Z}_p),$$

whose kernel is  $\mathbb{Z}_p^\times$ . The image of the composition

$$\mathcal{O}^\times \xrightarrow{\Psi} \text{PGL}_2(\mathbb{Z}_p) \longrightarrow \text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z}) \longrightarrow H_n$$

will be denoted by  $A_n$ . This is a cyclic group of order  $(p+1) \cdot p^n = \#\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ ; it is the projective version of the non-split Cartan group in  $\text{GL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ . To simplify the notations we will write  $F_n^A$  for the subfield of  $F_n$  fixed by  $A_n$ .

Theorem 24. Let  $E/\mathbb{Q}$  be an elliptic curve. Suppose that  $E$  does not have potentially good supersingular reduction for any prime of additive reduction. Let  $p$  be a prime of either good ordinary or multiplicative reduction. Let  $F$  be the number field as above and assume that  $\rho_{F,p}$  is surjective. Then we have

$$\#\text{Sel}_{p^n}(E/F_n^A) \geq p^n$$

where  $A$  is any non-split Cartan group in  $\text{PGL}_2(\mathbb{Z}_p)$ .

The proof of this theorem will be completed in section 8.3.

Since there are no  $p$ -torsion points in  $E(F_n)$ , as  $\rho_{F,p}$  is assumed to be surjective, there is an isomorphism

$$H^1(F_n^A, E[p^k]) \longrightarrow H^1(F_n, E[p^k])^{A_n}$$

induced by the restriction map. This implies that the map

$$\text{Sel}_{p^n}(E/F_n^A) \longrightarrow \text{Sel}_{p^n}(E/F_n)^{A_n}$$

is injective. We conjecture that the elements in the Selmer group constructed above do not lie in the image of the Kummer map, but represent non-trivial elements in the Tate-Shafarevich group  $\text{III}(E/F_n^A)[p^n]$ . If so, these classes in the Tate-Shafarevich group will capitulate in the extension  $F_n/F_n^A$ , since the elements of the Selmer group in the theorem restrict to elements in the image of the higher self-points inside  $\text{Sel}_{p^n}(E/F_n)$ .

## 8.1 The field extension

**Lemma 25.** *The cyclic group  $A_n$  intersects trivially any Borel subgroup in  $H_n$ .*

*Proof.* We prove the statement that the image of  $\Psi$  in  $\text{PGL}_2(\mathbb{Z}_p)$  intersects trivially any of its Borel subgroups  $B$ . Let  $L$  be the  $\mathbb{Z}_p$ -line  $\mathcal{O}$  such that  $B$  is the stabiliser under the action of  $\text{PGL}_2(\mathbb{Z}_p)$  on  $\mathbb{P}^1(\mathbb{Z}_p)$  viewed as the set of  $\mathbb{Z}_p$ -modules in  $\mathcal{O}$  generated by a unit. Let  $\alpha \in \mathcal{O}^\times$  be any element with a non-trivial image under  $\Psi$ , then  $\alpha \notin \mathbb{Z}_p^\times$  can not fix  $L$ .  $\square$

This implies in particular that any generator  $\alpha_n$  of  $A_n$  acts simply transitively on the set  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .

**Lemma 26.** *Let  $v$  be either a place of ordinary reduction above  $p$  or a infinite place or a place of potentially multiplicative reduction. Then the image of*

$$\bar{\rho}_{F_v,p}: \text{Gal}(\bar{F}_v/F_v) \longrightarrow \text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$$

*lies in a Borel subgroup of  $\text{PGL}_2(\mathbb{Z}/p^{n+1}\mathbb{Z})$ .*

*Proof.* First suppose that  $v$  divides  $p$ . As  $E$  is of ordinary reduction at  $v$ , there is a cyclic subgroup of  $E[p^{n+1}]$  of order  $p^{n+1}$  which is fixed by the Galois group  $\text{Gal}(\bar{F}_v/F_v)$ . This subgroup consists of all elements of  $E[p^{n+1}]$  with trivial reduction over  $\bar{F}_v$ . Therefore the image of  $\bar{\rho}_{F_v,p}$  is contained in the stabiliser of this point in  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ , which is a Borel subgroup.

Now, let  $v$  be a place of split multiplicative reduction for  $E$ . From the description of  $E$  as a Tate curve over  $F_v$ , we see that there is subgroup isomorphic to  $\mu[p^{n+1}]$  inside  $E[p^{n+1}]$ . As before  $\text{Gal}(\bar{F}_v/F_v)$  will fix this subgroup and hence the image of  $\bar{\rho}_{F_v,p}$  is contained in a Borel subgroup.

Next, we suppose that  $v$  is a place of bad reduction, but not of split multiplicative type. Then by hypothesis,  $E$  has either non-split multiplicative or additive and potentially multiplicative reduction. In both cases there exists a quadratic extension  $L$  of  $F_v$ , unramified in the first case and ramified in the second, such that  $E$  has split multiplicative reduction over  $L$ , see page 312 in [Ser72]. Hence  $E[p^{n+1}]$  can be described as the set of  $\zeta^i \cdot a^j$  with  $\zeta$  a primitive  $p^{n+1}$ -st root of unity,  $a$  a  $p^{n+1}$ -st root of the Tate-parameter  $q$  and  $0 \leq i, j < p^{n+1}$ ; but the action of  $\sigma \in \text{Gal}(\bar{F}_v/F_v)$  is given by  $\sigma * (\zeta^i \cdot a^j) = \chi_L(\sigma) \cdot \sigma(\zeta)^i \cdot \sigma(a)^j$  where  $\chi_L$  is the quadratic character associated to  $L/F_v$ . Therefore the subgroup generated by  $\zeta$  is still fixed under  $\text{Gal}(\bar{F}_v/F_v)$ .

Finally, we have to treat the case when  $v$  is an infinite place. But for any  $p$ , there is a cyclic subgroup of order  $p^{n+1}$  in  $E(\mathbb{R})$ , hence the image is contained in a Borel subgroup.  $\square$

Remark: We used here in a crucial way the assumption that  $p$  is a prime of ordinary reduction. Certainly it will not hold for places of additive reduction that are potentially supersingular.

**Proposition 27.** *Suppose that none of the primes of additive reduction for  $E$  are potentially good supersingular. Then the extension  $F_n/F_n^A$  is nowhere ramified. Moreover all places above  $\infty$ ,  $p$ , and  $N$  split completely in this extension.*

*Proof.* Since  $F_n$  is a subfield of  $F(E[p^\infty])$  it is clear that it is unramified outside  $\infty$ ,  $p$  and  $N$ . By the previous lemma, we know that the decomposition group of a place  $v$  dividing  $\infty \cdot p \cdot N$  in  $F$  inside  $H_n$  is contained in a Borel. Since any Borel intersects  $A_n = \text{Gal}(F_n/F_n^A)$  trivially by lemma 25, we have that the places above  $\infty \cdot p \cdot N$  in  $F_n^A$  split completely.  $\square$

## 8.2 The $A$ -cohomology of the Steinberg representation

Let

$$V'_n = \left\{ f: \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \longrightarrow \mathbb{Q} \mid \sum_D f(D) = 0 \right\}$$

be the  $\mathbb{Q}[H_n]$ -module considered earlier in section 7.2. It is a  $\mathbb{Q}$ -vector space of dimension  $m - 1$  with  $m = (p + 1) \cdot p^n$ . There is a natural lattice  $T'_n$  in  $V'_n$  which is fixed by  $H_n$ , defined by

$$T'_n = \left\{ f: \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \longrightarrow \mathbb{Z} \mid \sum_D f(D) = 0 \right\}.$$

**Lemma 28.** *We have*

$$H^1(A_n, T'_n) = \mathbb{Z}/m\mathbb{Z}.$$

*Proof.* Note first that the  $A_n$ -fixed part of  $V'_n$  is trivial since  $A_n$  act transitively on  $\mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z})$ , for a function  $f: \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \longrightarrow \mathbb{Q}$  that is fixed by  $A_n$  would necessarily be constant, but then  $\sum_D f(D) = 0$  implies that  $f = 0$ . Consider now the exact sequence of  $H_n$ -modules

$$0 \longrightarrow T'_n \longrightarrow V'_n \longrightarrow T'_n/V'_n \longrightarrow 0,$$

which induces an isomorphism

$$(T'_n/V'_n)^{A_n} \longrightarrow H^1(A_n, T'_n)$$

since  $H^1(H_n, V'_n) = 0$  as  $V'_n$  is divisible. So we are looking to determine the  $A_n$ -fixed functions in

$$T'_n/V'_n = \left\{ f: \mathbb{P}^1(\mathbb{Z}/p^{n+1}\mathbb{Z}) \longrightarrow \mathbb{Q}/\mathbb{Z} \mid \sum_D f(D) = 0 \right\}.$$

Such a function must be constant, since  $A_n$  acts transitively, say  $f(D) = f_0$ . Then  $m \cdot f_0 = 0$ , so  $f_0 \in \frac{1}{m}\mathbb{Z}$  gives the result.  $\square$

**Proposition 29.** *Let  $U$  be any lattice in  $V'_n$  which is fixed by  $H_n$ , then*

$$\# H^1(A_n, U) = m.$$

*Proof.* The lattice  $U$  is contained in a scaled version of  $T'_n$  with finite index, say

$$0 \longrightarrow U \longrightarrow T'_n \longrightarrow Z \longrightarrow 0.$$

Since the Herbrand quotient<sup>2</sup> satisfies  $h(A_n, Z) = 1$  for the finite  $A_n$ -module  $Z$ , we have

$$\# H^1(A_n, U) = h(A_n, U) = h(A_n, T'_n) = \# H^1(A_n, T'_n) = m. \quad \square$$

It is not true in general that  $H^1(A_n, U)$  is cyclic. For  $n = 0$ , it can have up to three cyclic factors.

### 8.3 Proof of Theorem 24

We have an injection

$$\begin{aligned} \iota: V'_n &\hookrightarrow E(F_n) \otimes \mathbb{Q} \\ f &\longmapsto \sum_D f(D) \cdot Q_D. \end{aligned}$$

Where  $Q_D$  is the higher self-point constructed in section 7.2 and section 7.3. Let  $S_n$  be the saturated group generated by the higher self-points in  $E(F_n)$ , that is

$$S_n = \left\{ P \in E(F_n) \mid \text{there is a } k > 0 \text{ such that } k \cdot P \in \mathbb{Z}[H_n] \cdot Q_D \right\}.$$

By definition all torsion points in  $E(F_n)$  belong to  $S_n$ , moreover we have

$$0 \longrightarrow E(F_n)_{\text{tors}} \longrightarrow S_n \longrightarrow U_n \longrightarrow 0$$

where  $U_n$  can be identified as a  $H_n$ -stable lattice in the image of  $\iota$ . Because there are no  $A_n$ -fixed elements in  $U_n$ , we find

$$\begin{aligned} 0 &\longrightarrow H^1(A_n, E(F_n)_{\text{tors}}) \longrightarrow H^1(A_n, S_n) \longrightarrow H^1(A_n, U_n) \longrightarrow \\ &\longrightarrow H^2(A_n, E(F_n)_{\text{tors}}) \longrightarrow H^2(A_n, S_n) \longrightarrow 0. \end{aligned}$$

Since the Herbrand quotient  $h(A_n, E(F_n)_{\text{tors}})$  is trivial, we find

$$\# H^1(A_n, S_n) = \# H^1(A_n, U'_n) \cdot \# H^1(A_n, S_n) \geq \# H^1(A_n, U'_n) = m = (p+1) \cdot p^n$$

by proposition 29. Note also that since  $E(F_n)$  has no  $p$ -torsion points, we know that

$$\# H^1(A_n, S_n)[p^n] = \# H^1(A_n, U_n)[p^n] = p^n.$$

Consider now the natural inclusion of  $S_n$  into  $E(F_n)$ . The cokernel of this inclusion  $Y_n$  is a free  $\mathbb{Z}$ -module. The long exact sequence

$$0 \longrightarrow E(F_n^A)_{\text{tors}} \longrightarrow E(F_n^A) \longrightarrow Y_n^{A_n} \longrightarrow H^1(A_n, S_n) \longrightarrow H^1(A_n, E(F_n)) \quad (5)$$

shows that  $Y_n^{A_n}$  has the same rank as  $E(F_n^A)$ .

---

<sup>2</sup>For a finite cyclic group  $G$  acting on a  $G$ -module  $A$ , we define  $h(G, A) = \# H^1(G, A) / \# H^2(G, A)$ .



Composing the last map in the above sequence with the inflation map will be called the *derivation map*

$$\partial_n: \mathrm{H}^1(A_n, S_n) \longrightarrow \mathrm{H}^1(A_n, E(F_n)) \xrightarrow{\text{inf}} \mathrm{H}^1(F_n^A, E).$$

Since  $S_n$  has no  $p$ -torsion elements, we can identify the  $p^n$ -torsion part of the source with

$$\left(\frac{S_n}{p^n S_n}\right)^{A_n} \xrightarrow{\cong} \mathrm{H}^1(A_n, S_n)[p^n]$$

and therefore we call the image of  $\partial_n$  the *derived classes* of higher self-points.

**Lemma 30.** *The image of  $\partial_n$  is contained in  $\text{III}(E/F_n^A)$ .*

*Proof.* Let  $\kappa$  be the lift of an element in the image of  $\partial_n$  under the map

$$\mathrm{H}^1(F_n^A, E[m']) \longrightarrow \mathrm{H}^1(F_n^A, E)[m']$$

for a sufficiently large  $m'$ . Since the extension  $F_n/F_n^A$  is non-ramified at a place  $v$  outside the set  $\Sigma$  of places in  $F_n^A$  above  $p$ ,  $N$  or  $\infty$ , the restriction of  $\kappa$  to  $\mathrm{H}^1(F_{n,v}^A, E[m'])$  will lie in  $\mathrm{H}_f^1(F_n^A, E[m'])$ . Now for any place  $v$  in  $\Sigma$ , the place  $v$  splits completely in extension  $F_n/F_n^A$  by proposition 27, so the restriction of  $\kappa$  to  $\mathrm{H}^1(F_{n,v}^A, E)[m']$  is trivial as it comes from the inflation  $\mathrm{H}^1(F_n/F_n^A, E(F_n)) \xrightarrow{\text{inf}} \mathrm{H}^1(F_n^A, E)$ . Hence  $\kappa$  belongs to the Selmer group within  $\mathrm{H}^1(F_n^A, E[m'])$ .  $\square$

We can now end the proof of theorem 24. Denote by  $s$  the minimal number of generators of the kernel of  $\partial_n$ . From the long exact sequence (5), we see that the rank of  $Y_n^{A_n}$  is at least  $s$ . So, if  $\partial_n$  is not injective, then  $\text{rank}(E(F_n^A))$  is positive. So either the image of  $\partial$ , lifted to the Selmer group, will contribute  $p^n$  elements or else  $E(F_n^A)$  will give rise to a copy of  $\mathbb{Z}/p^n\mathbb{Z}$  in  $\text{Sel}_{p^n}(E/F_n^A)$ .  $\square$

We add here a comment on the case when  $E$  has supersingular reduction at  $p$ . It turns out that construction of derivative classes in  $\mathrm{H}^1(F_n^A, E)$  using higher self-points works the same, provided that the higher self-points are of infinite order. The main difference is that the cohomology classes do not belong to the Tate-Shafarevich group. In fact, under the assumption that the derivative map is not trivial, they will provide classes that are orthogonal to elements from the Selmer group and could be used to bound the Selmer group from above; just like Kolyvagin's classes built from Heegner points. Unfortunately we do not know a way of proving the assumption and hence these derivative classes can not be used to say something about the Selmer group.

## 8.4 Derivative of self-points

Rather than constructing derivative classes of higher self-point, we can also produce cohomology classes from self-points. We only sketch here the results whose proofs are in the similar to the previous sections.

Let  $E/\mathbb{Q}$  be an elliptic curve of conductor  $N$ . Assume for simplicity that  $N = p$  is prime. Put  $K = K_p$ . It is known that  $\rho_p$  is surjective, see [DW08] for more details. So the Galois group  $G = \text{Gal}(K/\mathbb{Q})$  is isomorphic to  $\text{PGL}_2(\mathbb{F}_p)$ . Let  $A$  be any cyclic subgroup of order  $p+1$  in  $G$ .

**Theorem 31.** *There is map  $\partial$  to the Tate-Shafarevich  $\text{III}(E/K^A)$  from a group of order at least  $p+1$ . If this map is not injective, then there are points of infinite order defined over  $K^A$  that only become divisible in  $E(K)$ . If  $r$  is the difference of the rank of  $E(\mathbb{Q}(C))$  and  $E(\mathbb{Q})$ , then*

$$\text{Sel}_{p+1}(E/K^A) \geq (p+1)^r \cdot \#E(\mathbb{Q})[p+1].$$

As before we consider the saturation of the self-points  $S$  in  $E(K)$ . We know that  $S$  modulo its torsion-part is a lattice  $U$  in the Steinberg representation of  $\mathrm{PGL}_2(\mathbb{F}_p)$ . As we have seen in section 8.2, the cohomology group  $H^1(A, U)$  will have  $p+1$  elements. In section 4 of [DW08], we have computed the torsion subgroup of  $E(K)$ . Using this we can compute that  $E(K^A)_{\mathrm{tors}} = E(\mathbb{Q})_{\mathrm{tors}}$  and that

$$H^1(A, E(K)_{\mathrm{tors}}) = H^2(A, E(K)_{\mathrm{tors}}) = \begin{cases} \mathbb{Z}/2\mathbb{Z} \\ 0 \end{cases}$$

with the non-trivial case exactly when  $E$  is one of the curves 17a2, 17a3, 17a4 or any Neumann-Setzer curve. As before this shows that  $H^1(A, S)$  has either  $p+1$  or  $2(p+1)$  elements. The derivative map is again

$$\partial: H^1(A, S) \longrightarrow H^1(A, E(K)) \longrightarrow H^1(K^A, E)$$

and its image is in the Tate-Shafarevich group  $\mathrm{III}(E/K^A)$ .

We should add here that the control theorem for the Selmer group is not necessarily perfect; the kernel of

$$\mathrm{Sel}_{p+1}(E/K^A) \longrightarrow \mathrm{Sel}_{p+1}(E/K)$$

can be of order 1 or 2.

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