

# The Spin Foam Lectures

## 1b: Spherical categories

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# Categories

A **category**  $\mathcal{C}$  is a set of 'objects'  $\mathcal{C}_0$  and a set of 'morphisms'  $\mathcal{C}_1$ . There are two maps  $s, t: \mathcal{C}_1 \rightarrow \mathcal{C}_0$  giving the source and target objects  $A = s\phi$ ,  $B = t\phi$  for each morphism  $\phi$ ,

$$A \xrightarrow{\phi} B.$$

There is a composition  $\phi \cdot \psi$  on  $\mathcal{C}_1$  defined if

$$A \xrightarrow{\phi} B \xrightarrow{\psi} C$$

This is associative and each object has a unit morphism,

$$1_A \cdot \phi = \phi, \quad \phi \cdot 1_B = \phi.$$

# Functors and natural transformations

A **functor**  $\mathcal{C} \rightarrow \mathcal{D}$  is a pair of maps

$$\mathcal{C}_0 \rightarrow \mathcal{D}_0 \quad \text{and} \quad \mathcal{C}_1 \rightarrow \mathcal{D}_1$$

which commute with  $s, t, \cdot, 1_\bullet$ .

A **natural transformation** between two functors  $F_1, F_2: \mathcal{C} \rightarrow \mathcal{D}$  is a map

$$\nu: \mathcal{C}_0 \rightarrow \mathcal{D}_1$$

such that

$$\begin{array}{ccc} F_1(A) & \xrightarrow{F_1(\phi)} & F_1(B) \\ \nu(A) \downarrow & & \downarrow \nu(B) \\ F_2(A) & \xrightarrow{F_2(\phi)} & F_2(B) \end{array}$$

commutes, for all  $\phi: A \rightarrow B$  in  $\mathcal{C}$ . *cf. Homotopy*

# Monoidal category with duals

A **strict monoidal category with duals** is a category  $\mathcal{C}$  with functors

$$\otimes : \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$$

$$* : \mathcal{C}^{op} \rightarrow \mathcal{C}$$

$$e : \mathbb{I} \rightarrow \mathcal{C}$$

one obj, one morph.  
i.e.  $e \in \mathcal{C}_0$

satisfying axioms

$$(\phi \otimes \psi) \otimes \rho = \phi \otimes (\psi \otimes \rho) \quad \sim \text{i.e.}$$

$$1_e \otimes \phi = \phi$$

$$\phi \otimes 1_e = \phi$$

$$\phi = \phi^{**}$$

$$\phi^* \otimes \psi^* = (\psi \otimes \phi)^*$$

$$e^* = e$$

$$(\otimes \times id) \otimes = (id \times \otimes) \otimes$$
$$e \times e \times \mathcal{C} \rightarrow \mathcal{C}$$

# Non-strict version

A **non-strict** monoidal category with duals has natural transformations instead of  $=$ . These obey 9 axioms.

Example of an axiom:

$$\begin{array}{ccc} A \otimes B & \longrightarrow & (A \otimes B)^{**} \\ \downarrow & & \downarrow \\ A^{**} \otimes B^{**} & \longrightarrow & (B^* \otimes A^*)^* \end{array}$$

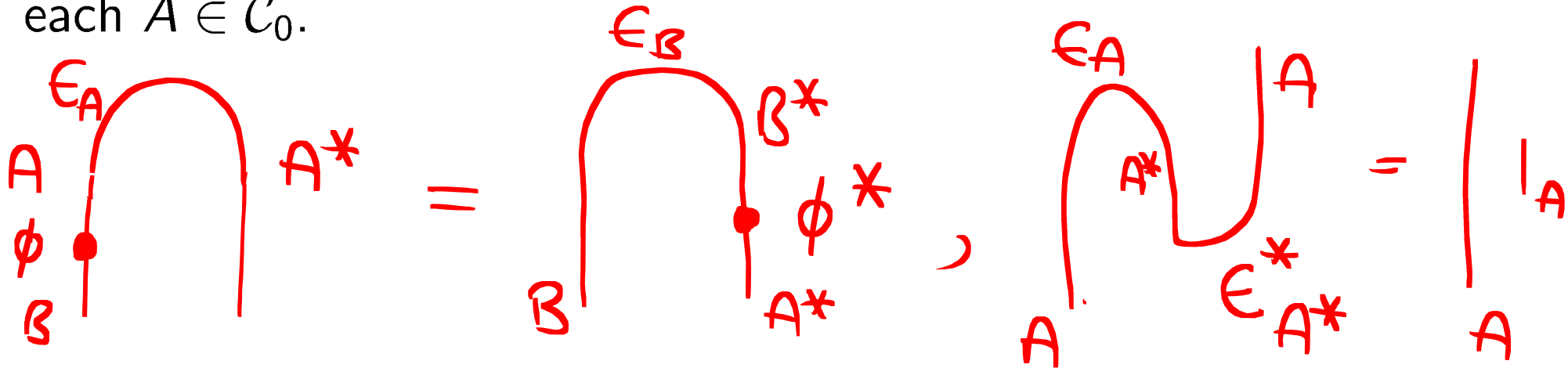
## Theorem (Coherence)

*All diagrams using the natural transformations commute.*

The category is equivalent to a strict one. **Canonical isomorphisms.**

# Pivotal category

A **pivotal** category also has a morphism  $\epsilon_A: e \rightarrow A \otimes A^*$  for each  $A \in \mathcal{C}_0$ .



and compatibility with  $e$  and  $\otimes$ .

## Example

A Hopf algebra  $H$  with a group-like element  $w$  such that

$$s^2(a) = waw^{-1}$$

Dual  
\*\*  
 $s(a)^+$   
 $s^2(a)$

determines a pivotal category. Define  $\epsilon_A = \sum_i \xi_i \otimes \xi^i$ ,

$$\epsilon_{A^*} = \sum_i \xi^i \otimes w\xi_i.$$

# Spherical category

A **spherical category** is a pivotal category for which

$$A^* \circ A \circ \phi = \phi \circ A \circ A$$

for all  $\phi : A \rightarrow A$

## Theorem

*Closed diagrams are invariant under diffeomorphisms of  $S^2$ .*

## Examples

- ▶ Let  $H = U_q \mathfrak{g}$  for  $q$  a root of unity. Take the category of tilting modules.
- ▶  $H = \mathbb{C}[G]$ ,  $w \in Z(G)$ ,  $w^2 = 1$ .
- ▶ A ribbon category.

# Spherical functors

$F: \mathcal{C} \rightarrow \mathcal{D}$  is a spherical functor if it commutes with  $\otimes$ ,  $e$ ,  $*$  and  $\epsilon$ .

e.g.

$$F(A \otimes B) = F(A) \otimes F(B)$$
$$F(\epsilon_A) = \epsilon_{F(A)}$$

## Examples

- ▶  $(H, w) \rightarrow (K, w')$  induces  $\text{Rep}(K) \rightarrow \text{Rep}(H)$ .
- ▶ Quotient by equivalence  $\alpha \mapsto [\alpha]$



# Exercises

1. Let  $\mathcal{G}$  be the category with one object and the morphisms forming a group. Show that a functor  $\mathcal{G} \rightarrow \text{Vect}$ , the category of vector spaces, determines a representation of the group. What is the interpretation of a natural transformation between two such functors in terms of group representations?
2. Which of the following objects of a strict spherical category are equal?

$$e^* \otimes X^* \otimes Y \quad Y^* \otimes e \otimes X \quad (Y^* \otimes X)^* \quad (Y^* \otimes X)^*$$

3. Prove that in a spherical category,  $\text{Tr } f = \text{Tr } f^*$  for all morphisms  $f: X \rightarrow X$ .

# Exercises

4. Explain why the pivotal condition (Lecture 1) holds in a spherical category.
5. Let  $X$  be an object of a strict spherical category. If there is an isomorphism  $\mu: X \rightarrow X^*$ , what is the coherence condition for  $\mu$  which guarantees the consistency for identifying  $X = X^*$ ?
6. Show that a spherical functor preserves quantum dimension, i.e., if  $F: \mathcal{C} \rightarrow \mathcal{D}$  is a spherical functor, then for all  $X \in \mathcal{C}_0$ ,  $\text{Tr}1_X = \text{Tr}1_{F(X)}$ .

# References for spherical categories

- ▶ MacLane: Categories for the Working Mathematician (book)
- ▶ JWB and Westbury: Spherical categories
- ▶ Andersen and Paradowski: Fusion categories arising from semisimple Lie algebras
- ▶ Selinger: A survey of graphical languages for monoidal categories (book)